

New York Health Benefit Exchange

Detailed Design Review Summary for 9.6.1 System Requirements October 9 & 10, 2012

<u>Item Number</u>	<u>Topic</u>
9.6.1	System Requirements



New York State Department of Health

New York Health Exchange Project

CSC

9.6.1 Information Technology System Requirements

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VERSION HISTORY

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	PAK	09/24/2012	CAM	09/21/2012	FM Story revisions
	JKP	09/24/2012	EH	09/24/2012	SHOP Epic revisions
	MSS	09242012	PAK	09/24/2012	Consistency
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1 INTRODUCTION

As referenced in 9.5, The CSC NY-HX project for the New York State Department of Health (DOH) is using the Jazz Suite of products, Rational Requirements Composer (RRC), Team Concert (RTC) and Quality Manager (RQM). Rational Requirements Composer (RRC) houses all requirements and their respective links including, but not limited to: legislation, regulation, business processes, certification documents, opinion papers, process flows and user stories/epics. Links between and among each of these artifacts is found in RRC and forms the basis of the development/design and testing that takes place in RTC and RQM, respectively. The linking conventions used in RRC are detailed in Figure 1.

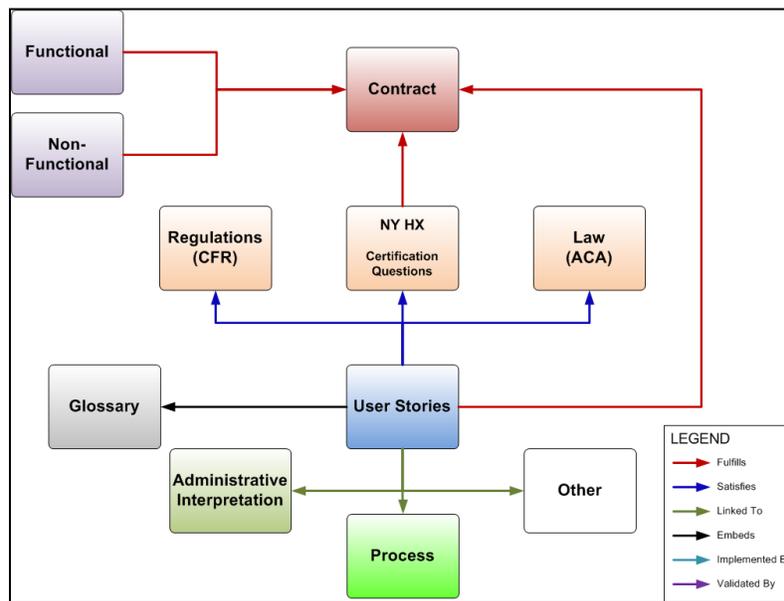


Figure 1: RRC Linking Conventions

1.1 User Stories

User stories are an agile requirements approach that helps shift the focus from writing about requirements to talking about them. All Agile user stories include a written sentence or two describing exactly what the end-user is expecting from the system.

1.1.1 Customer Assistance - DRAFT

Identifier	Artifact format	Short Name	Primary Text	Category	Title
8319	Text	CS.E.2b.History	As an Issuer, I would like the ability to view history of current and prospective members.	Transaction History for Issuer	Health Plan Issuer - 2b History
8318	Text	CS.E.2.Ad Hoc Reports	As an Issuer, I would like to generate reports of enrollment activities.	Account Management Ad-Hoc Reports	Health Plan Issuer - 2. Account Management Ad-Hoc Reports
8317	Text	CS.E.1Set Up Issuers	As NY-HX Representative, I need to accept files from DOH that contain updated Issuers for uploading into the NY-HX system.	Set Up (ACD) Authorized Issuer	Health Plan Issuers - 1. Set Up (ACD) Authorized Issuer
7226	Text	CS.B.7. Corrections and Overrides	As a Consumer Assistance Representative, I need to unblock Website access for the User.	7. Corrections and Overrides as applicable	Via NY-HX (Self Service) - 7. Corrections and Overrides as applicable
7225	Text	CS-B.5. Log and Route (for verification)	N/A	5. Log and Route (for verification)	Via NY-HX (Self Service) - 5. Log and Route (for verification)
7224	Text	CS.B.4. Issue For Complainant	As an Enrollee in the NY-HX, I want to file a complaint.	4. Issue For Complainant	Via NY-HX (Self Service) - 4. Issue For Complainant
7223	Text	CS.B.3b.Rules - System Intervention	As a Applicant, I need to provide documentation so that my application can be processed.	3. b. Rules - System intervention	Via NY-HX (Self Service) - 3. b. Rules - System intervention
7222	Text	CS.B.2. Access Self Management	As an Applicant, I need to go back to the NY-HX website and finish my application.	2. Access self management (password)	Via NY-HX (Self Service) - 2. Access self management (password)
7216	Text	CS.A 1. Information for Consumers	As a Consumer, I need to learn more about the ACA.	1. Information for Consumers	Via NY-HX (Self Service) - 1. Information for Consumers
7215	Text	CS.B.3.a User Options	As an Front End User, I need to mail the documents needed to process my application.	3. a. User Options	Via NY-HX (Self Service) - 3. a. User Options
7211	Text	CS.G.4. Show Individuals in Workflow	As Consumer Assistance Representative, I want access to information that will allow me to inform Navigators about an	4. Show me individuals in their workflow	Navigators & Other 3rd Party Assisters - 4.



Identifier	Artifact format	Short Name	Primary Text	Category	Title
			Applicant's enrollment status.		Show me individuals in their workflow
7210	Text	CS.G.3. Connect a Person	As a Consumer Assistance Representative, I need access to information about Navigator programs approved by DOH for referral purposes.	3. Connect a person to a Navigator	Navigators & Other 3rd Party Assisters - 3. Connect a person to a Navigator
7209	Text	CS.G.2. Training & Testing	As a NY-HX Representative, I need to develop a program to train Navigators on the ACA and NY-HX.	2. Training & Testing	Navigators & Other 3rd Party Assisters - 2. Training & Testing
7208	Text	CS.G.1.Add and Update Navigators	As a NY-HX Administrator, I need to develop an approval process for Navigators and the ability to channel additions, changes and deletions for system updates.	1. Add-Change-Delete (ACD) authorized Navigators	Navigators & Other 3rd Party Assisters - 1. Add-Change-Delete (ACD) authorized Navigators
7203	Text	CS.D.3.d.Research	As a Consumer Assistance Representative, I need to receive program updates from Back-End Users (DOH, DFS, Issuer, etc. for issues that require research and/or program updates.	3. Respond (d.) Research	Level 2 Support (DOH, DFS, Issuer, etc.) - 3. Respond (d.) Research
7196	Text	CS.C.5.Interface with NY-HX	As a Consumer Service Representative, I need to document responses/resolution/outcomes to inquiries, appeals, complaints in the NY-HX so that the information is available to other Back-End Users.	5. Resolution to NY-HX system as appropriate	Customer Service Center (Level 1) - 5. Resolution to NY-HX system as appropriate
7195	Text	CS.C.4.d.Access Website with User	As a Consumer Service Representative, I would like to mirror online access with Individuals to assist them in navigating the NY-HX website.	4. Respond (d.) How do I...?	Customer Service Center (Level 1) - 4. Respond (d.) How do I...?
7191	Text	CS.C.3.Confirm Participation	As a Consumer Assistance Representative, I need to be able to confirm Enrollee participation and history to respond to inquiries.	3. Respond (a.) Request additional information as needed	Customer Service Center (Level 1) - 3. Respond (a.) Request additional information as needed
7213	Text	CS.E.2.b. History	As a DOH Administrator, I need to generate reports showing enrollment and other activities of Navigators.	6. Capture Navigator activity metrics	Navigators & Other 3rd Party Assisters - 6. Capture Navigator activity metrics
7214	Text	CS.A.2.Fulfillment	As a Consumer Assistance Representative, I would like to	2. Fulfillment	Operations - 2. Fulfillment



Identifier	Artifact format	Short Name	Primary Text	Category	Title
			send informational packets to all new enrollees.		
7192	Text	CS.C.4.a.Respond	As a Consumer Assistance Representative, I would like to update/change log in information so that Individuals can access the NY-HX Website.	4. Respond (a.) (Access management)	Customer Service Center (Level 1) - 4. Respond (a.) (Access management)
7189	Text	CS.C.1.Receive Inquiry	As a Consumer Assistance Representative, I need to be able to receive telephone calls, faxes, mail, e-Mails and to chat online with Consumers, Applicants, Employers and representatives from other Agencies.	1. Receive issue/Inquiry from Customer Service Center	Customer Service Center (Level 1) - 1. Receive issue/Inquiry from Customer Service Center
7204	Text	CS.E.2.c Transaction Logs	As a Back-End User, I need to record receipt and research of special requests, and document outcomes to make status available to HY-HX system users, as needed.	4. Record activity	Level 2 Support (DOH, DFS, Issuer, etc.) - 4. Record activity
7221	Text	CS.B.1.Online Chat	As a Front-End User, I want to chat online with a Consumer Assistance Representative.	1.e. Online Chat	Via NY-HX (Self Service) - 1.e. Online Chat
7190	Text	CS.C.2.Log and Route	As a Consumer Assistance Representative, I need log and categorize issues so that I can route them for resolution.	1. a (Issue) and 2. Log and Route	Customer Service Center (Level 1) - 1. a (Issue) and 2. Log and Route
7193	Text	CS.C.4.b.Access Management	As a Consumer Service Representative, I want to generate and send a letter to request additional information from an Applicant.	4. Respond (b.) Request additional information, as needed	Customer Service Center (Level 1) - 4. Respond (b.) Request additional information, as needed
7194	Text	CS.C.4.c.Access Application	As a Consumer Service Representative, I want to determine the exact status and history of an Application.	4. Respond (c.) Where is my application?	Customer Service Center (Level 1) - 4. Respond (c.) Where is my application?
7212	Text	CS.G.5.Identity of Person	As a Navigator, I would like to be able to access the NY-HX system using the identify of Applicants who require special assistance.	5. (Streamlined) Access to take on identity of an authorizing person	Navigators & Other 3rd Party Assisters - 5. (Streamlined) Access to take on identity of an authorizing person
7198	Text	CS.D.1.Interface with other Back End Users	As a Consumer Assistance Representative, I need a process to forward various issues to DOH, DFS, Issuer, etc. for resolution.	1. Receive Issue/Inquiry from Customer	Level 2 Support (DOH, DFS, Issuer, etc.) - 1. Receive Issue/Inquiry from Customer



Identifier	Artifact format	Short Name	Primary Text	Category	Title
7200	Text	CS.D.2.Enter Application	As a Consumer Assistance Representative, I need a process to enter information on to the application from individuals who mailed in their applications.	2. Enter application from mail	Level 2 Support (DOH, DFS, Issuer, etc.) - 2. Enter application from mail
7199	Text	CS.D.2.Enter Application	As a Consumer Assistance Representative, I need a process to enter information on to the application from Individuals calling the NY-HX.	2. Enter application from phone	Level 2 Support (DOH, DFS, Issuer, etc.) - 2. Enter application from phone
7201	Text	CS.D.3.a. Request Information	As a Back-End User (DOH, DFS, Issuer, etc.) I need to generate a letter to request additional information/documentation needed to determine eligibility.	3. Respond (a.) Request additional information.	Level 2 Support (DOH, DFS, Issuer, etc.) - 3. Respond (a.) Request additional information.
7205	Text	CS.D.5 Resolution to NY-HX	As a NY-HX Administrator, I need to design a workflow for system users to route suggestion for systems enhancements, clarification of issues and to authorize program updates and modifications, as appropriate.	5. Resolution to NY-HX system as appropriate	Level 2 Support (DOH, DFS, Issuer, etc.) - 5. Resolution to NY-HX system as appropriate
7206	Text	CS.D.3.b.View Transactions	As a Back-End User (DOH, DFS, Issuer, etc.) I would like access to the NY-HX website to view transaction of From End Users.	3. Respond (b.) View what Complainant has done on the NY-HX SystemNY-HX System	Level 2 Support (DOH, DFS, Issuer, etc.) - 3. Respond (b.) View what Complainant has done on the NY-HX SystemNY-HX System
7207	Text	CS.E.3.Final Validation of Plan	As an Issuer, I would like the ability to sign off on the plan information loaded into the NY-HX.	3. Final validation of Plan changes in NY-HX System	Health Plan Issuers - 3. Final validation of Plan changes in NY-HX System
7202	Text	CS.D.3.c.Special Circumstances	As a Back-End User (DOH, DFS, Issuer, etc.), I need the ability to view to act on request for exceptions, appeals and complaints from Front End Users.	3. Respond (c.) Challenges, special circumstances & Appeals	Level 2 Support (DOH, DFS, Issuer, etc.) - 3. Respond (c.) Challenges, special circumstances & Appeals
8315	Text				New User Story
7197	Text	CS.C.6.Generate Reports	As a Consumer Assistance Manager, I need information of number of calls, online requests, nature of inquiries, follow-up and interventions needed to address issuers/concerns.	6. Manage response process and collect metrics	Customer Service Center (Level 1) - 6. Manage response process and collect metrics
7220	Text	CS.B.1.Help Info	N/A	1.d. Help Buttons	Via NY-HX (Self Service) -



Identifier	Artifact format	Short Name	Primary Text	Category	Title
				Context Sensitive	1.d. Help Buttons Context Sensitive
7217	Text	CS.B.1.Static Content Info	As a Individual, I want to know what information is available on the NY-HX website.	1.a. Static Content	Via NY-HX (Self Service) - 1.a. Static Content
7219	Text	CS.B.1.Search Info	As a Consumer, I would like to search for information on various topics.	1.c. Search	Via NY-HX (Self Service) - 1.c. Search
7218	Text	CS.B.1.FAQ Info	As a Visitor, I want to find questions and answers about the ACA and NY-HX.	1.b. FQA	Via NY-HX (Self Service) - 1.b. FAQ

1.1.2 Financial Management - DRAFT

Identifier	Artifact format	Short Name	Primary Text	Category	Title
7377	Text	FM.A.1.APTC/CS R-CMS	"As an Exchange Operator, I want to receive APTC (and CSR) Payment reports from Issuer and Match individuals between HHS and Issuer records - exact matches, partial matches, false positives, false negatives."	Receive Data from HHS	APTC/CSR 1b. attribute HHS data to issuer
7376	Text	FM.A.1.APTC/CS R-EE data	"As an Exchange Operator, I want to collect selected APTC from EE to record the payment amounts issuers will receive in order to reconcile these at Issuer level."	Receive Data from HHS	APTC/CSR 1a. reports to HHS issuer pmts
7381	Text	FM.A.3.APTC/CS R-EE Income	"As an Exchange Operator, I want to report Exchange enrollment data to HHS on a monthly basis. Conversation: The monthly report to HHS should include individual enrollment in QHP for the upcoming month and the amounts of APTCs (and CSRs), Family Size, Income, and SLCSPP associated with those individuals. Comments: Will we still have to collect this data for CSR?"	Report Data to HHS	APTC/CSR 3d. EE Income data to HHS
7379	Text	FM.A.3.APTC/CS R-EE SLCSPP	"As an Exchange Operator, I want to report Exchange enrollment data to HHS on a monthly basis. Conversation: The monthly report to HHS should include individual enrollment in QHP for the upcoming month and the amounts of SLCSPP associated with those individuals. Comments: Will we still have to collect this data for CSR?"	Report Data to HHS	APTC/CSR 3b. EE SLCSPP data to HHS
7380	Text	FM.A.3.APTC/CS	"As an Exchange Operator, I	Report Data to	APTC/CSR 3c.



Identifier	Artifact format	Short Name	Primary Text	Category	Title
		R-Fam Sz	want to report Exchange enrollment data to HHS on a monthly basis. Conversation: The monthly report to HHS should include individual enrollment in QHP for the upcoming month and the Income, associated with those enrolled individuals. Comments: Will we still have to collect this data for CSR?"	HHS	EE Family Size data to HHS
7384	Text	FM.A.4.APTC/CS R-EE Cleanse	"As an Exchange Operator, I want to receive Eligibility Change reports from EE and perform Data Quality cleansing to support matching. To do this, I will need to get information from Eligibility and Enrollment."	Reconcile Data	APTC/CSR 4c. Eligibility change from EE data cleanse
7382	Text	FM.A.4.APTC/CS R-HHS	"As an Exchange Operator, I want to receive APTC (and CSR) Payment reports from HHS and perform Data Quality cleansing to support matching. To do this, I will need to get information from HHS ."	Reconcile Data	APTC/CSR 4a. APTC from HHS data cleanse
7383	Text	FM.A.4.APTC/CS R-Issuer	"As an Exchange Operator, I want to receive APTC (and CSR) Payment reports from Issuer and perform Data Quality cleansing to support matching. To do this, I will need to get information from QHPs."	Reconcile Data	APTC/CSR 4b. APTC from Issuer data cleanse
7386	Text	FM.B.1. SHOP Prem Billing-ER Roster	"As an Employer, I want to be able to set up a roster of employees for aggregated premium collection Edit controls for Employee Roster Page. Conversation: We need this information for reporting purposes. Comment: Done in SHOP."	Aggregate Issuer Premium for Employers	SHOP Premium Processing 1a. ER Roster bill
7385	Text	FM.B.1. SHOP Prem Billing-Paid	"As an Exchange Operator, I want to be able to resolve discrepancies in premiums aggregated for Employers. Conversation: Maintain Employer account with amount paid. Comment : search Invoice data query."	Aggregate Billing SHOP	SHOP Premium Processing- 1a. Aggregate Pd
7387	Text	FM.B.1. SHOP Prem Billing-Receipts	"As an Exchange Operator, I want to bill employers for the total amount of premium owed, clearly showing employer and employee contribution amount. I want to aggregate premium receipts from Employers and distribute them to Issuers less any user fees if the state chooses this option. Conversation: Exchange (SFS)	Distribute Aggregate Issuer Premium	SHOP Premium Processing- 1b. A/R -SHOP receipts- EFT



Identifier	Artifact format	Short Name	Primary Text	Category	Title
			receives and processes a EFT premium payment (or other) from an Employer."		
7388	Text	FM.B.2. Ind Prem Billing to CMS	"As an Exchange Operator, I want to send verified employer premium payment history reports, after receiving these reports from Issuers, to HHS. Conversation: Issuers will need to supply information to the Exchange in order that we may calculate premiums due. Comment; Do we have to be bothered with any individual premium offsets?"	Process Premium data from Individuals	SHOP/Ind Premium Processing 2b. Ind to HHS
7389	Text	FM.B.2. Ind Prem Billing-Issuers	"As an Exchange Operator, I want to receive individual premium payment history reports from Issuers in order that I may supply them to HHS. Conversation: I need to develop a process to receive and verify reports."	Receive Individual Data from Issuers	SHOP/Ind Premium Processing 2b. from Issuers
7392	Text	FM.B.3. SHOP Prem Billing-Collections	"As an Exchange Operator, I need to develop a collections strategy to collect full premiums from SHOP employers from a variety of to be decided methods..."	Issuer Pmt Mgmt	SHOP Premium Processing-3bi. A/R - Collections
7391	Text	FM.B.3. SHOP Prem Billing-A/R	"As an Exchange Operator, I want to be able to resolve discrepancies in premiums aggregated for Employers. Conversation: Aged Accounts/Receivable by Employer Comment: data load from SFS will allow Exchange to develop report."	Resolve Discrepancies in Employer Premiums	SHOP Premium Processing-3b. Aged A/R
7393	Text	FM.B.3. SHOP Prem Billing-Cancel	"As an Exchange operator, I need a mechanism to manage non-payment and partial payment of SHOP premium so the Exchange can collect or notify Issuer to cancel coverage."	Issuer Pmt Mgmt	SHOP Premium Processing-3bii A/R - Cvg Cancel
7394	Text	FM.B.3. SHOP Prem Billing-Late	"As an Exchange operator, I need a mechanism to manage payments to Issuers that employers send late."	Issuer Pmt Mgmt	SHOP Premium Processing- 3c A/R - Late Pmts
7390	Text	FM.B.3. SHOP Prem Billing-Reconcile	"As an Exchange Operator, I want to be able to resolve discrepancies in premiums aggregated for Employers and updated. Conversation: Reconcile employer account discrepancies; update database."	Resolve Discrepancies in Employer Premiums	SHOP Premium Processing-3a. Reconcile, Update
7395	Text	FM.C.1.Core Bus Svce-A/R	"As an Exchange Operator, I need to Record all funds collected and enter them into an	Issuer Pmt Mgmt	Core Bus Svces/Issuer Pmt Mgmt- 1.



Identifier	Artifact format	Short Name	Primary Text	Category	Title
			accounts receivable ledger."		A/R Gen'l
7396	Text	FM.C.2.Core Bus Svce-A/P	"As an Exchange Operator, I want to distribute premiums to an Issuer. Conversation: In order to do this, I need to aggregate premiums, collect user fee and expense information and apply any offsets for fees, enrollments changes and cancellations to the premium prior to transferring it either electronically or some other means. I also need to make transfers on a timely basis."	Accounts Payable	Core Bus Svces/Issuer Pmt Mgmt - 2. A/P -Distribute Monies to Issuers
7397	Text	FM.C.2.Core Bus Svce-Offset	"As an Exchange Operator, I need to offset any premiums sent to Issuers by users fees charged to manage the Exchange."	Accounts Payable	Core Bus Svces/Issuer Pmt Mgmt - 2a. 2b. A/P- Offset Monies Paid by User Fees
7399	Text	FM.C.3.Core Bus Svce-Bank	"As an Exchange Operator, I need to ensure the receipts from SHOP premiums are deposited in the bank (treasury)."	Issuer Pmt Mgmt	Core Bus Svces/Issuer Pmt Mgmt-3b. Cash Mgmt - Bank
7398	Text	FM.C.3.Core Bus Svce-Inv	"As an Exchange Operator, I need to ensure that the funds collected from employers for SHOP premiums are held in an investment instrument the meets OSC standards and requirements."	Issuer Pmt Mgmt	Core Bus Svces/Issuer Pmt Mgmt- 3a. Cash Mgmt- Investmnt
7400	Text	FM.C.4.Core Bus Svce-Expenses	"As an Exchange Operator, I must have a documented practice for handling general expenses including authorities and permissions."	General Expenses	Core Business Svce- 4a. General Expenses
7402	Text	FM.C.4.Core Bus Svce-FFE	"As an Exchange Operator, I must have a documented practice for furniture fixtures and equipment procurement."	FFE/Purchasing	Core Business Svce- 4c. FFE Purchasing
7401	Text	FM.C.4.Core Bus Svce-HR	"As an Exchange Operator, I must have a documented practice for Human Resources procurement and on boarding."	Human Resources	Core Business Svce- 4b. Human Resources
7403	Text	FM.C.5.Core Bus Svce-Budget	"As an Exchange Operator, I need to maintain an accounting system that will track all of my expenses and revenues so I can determine what my total annual expenditures are."	Annual Budget	Core Business Svce 5. Annual Budget
7404	Text	FM.C.6.Core Bus Svce-Grants	"As an Exchange Operator, I must ensure that I have system in place to track Navigator (and other) grants."	Annual Reporting	Core Business Svce - 6. Grants Management
7405	Text	FM.C.7.Core Bus Svce-User Fee	"As a state regulator, I need to know what the revenues and expenses are from the NY-HX so that I can develop a policy for	User Fees	Core Bus Svces/Issuer Pmt Mgmt- User Fee



Identifier	Artifact format	Short Name	Primary Text	Category	Title
			collecting user fees."		Policy
7407	Text	FM.D.1.Oversight-B/S	"As an Exchange Operator, I must ensure that I have system in place to generate Balance Sheet as required."	Annual Reporting	Oversight -1e. Annual Reporting Balance Sheet
7409	Text	FM.D.1.Oversight-EE/Prem	"As an Exchange Operator, I want to be able to calculate enrollment and premium data to forward to the appropriate state agencies."	Annual Reporting	Oversight 1b. Annual Reporting - Enrollment and Premium Data
7408	Text	FM.D.1.Oversight-I/S	"As an Exchange Operator, I must ensure that I have system in place to generate an Income Statement as required."	Annual Reporting	Oversight -1d. Annual Reporting Income Statement
7406	Text	FM.D.1.Oversight-OSC	"As an Exchange Operator, I need to develop a report that provides details about the flow of funds to the state comptroller's office."	Issuer Pmts	Oversight- 1. Annual reporting to OSC
7411	Text	FM.D.2.Oversight-ER Roster	"As an Exchange Operator, I want to be able to set up a roster of employees for aggregated premium collection to show the Employer Page to Review Employee Roster. Conversation: The roster will list premium in sum total for each Issuer further separated by employer Comment: from SHOP	Report Issuer Charges	Oversight - 2b. Roster to Employer
7410	Text	FM.D.2.Oversight-premium to CMS	As an Exchange Operator, I need to develop a report that provides details about the premiums written by Issuers to CMS	Report Issuer Pmts	Oversight - 2a. Issuer Premium to CMS
7424	Text	FM.D.3.Oversight-Agg QHP premium	"As an Exchange Operator, I need to record premiums written by NY-HX QHPs to compile data for user fee calculations."	User Fees	Oversight 3. Plan Assessment/User Fees-Aggregate QHP Premium for Fees calc
7423	Text	FM.D.3.Oversight-Aggregate	"As an Exchange Operator, I need to access premiums written by all NYS carriers offering through the exchange for user fee calculations."	User Fees	Oversight 3. Plan Assessment/User Fees-Aggregate NYS Issuer Premiums from DFS
7425	Text	FM.D.3.Oversight-Bill User Fee	"As an Exchange Operator, I need to develop a billing and collections system for any user fees chosen by the state."	User Fees	Oversight 3. Plan Assessment/User Fees-Bill/Collect user fees
7413	Text	FM.D.3.Oversight-Calc User Fee	"As an Exchange Operator, I want to be able to calculate and collect user fee assessments on	Users Fees	Oversight - 3. Plan Assessment/U

Identifier	Artifact format	Short Name	Primary Text	Category	Title
			Issuers if the state elects this option. Business Rule: calculate user fees according to state-determined user fee formula."		ser Fees- Calculate User Fee
7427	Text	FM.D.3.Oversight-Fee Offset	"As an Exchange Operator, I need to develop a system whereby any user fees charged to QHPs can be deducted from their premiums due."	User Fees	Oversight 3. Plan Assessment/ User Fees-User Fee Offset
7422	Text	FM.D.3.Oversight-Fee spread	"Spike: As a state regulator, I need to develop a policy for collecting user fees Conversation: I need to determine if the fee spread would be detrimental to the Issuers who are QHPs and if so, possible expand the user fee base to include carriers outside the exchange."	User Fees	Oversight 3. Plan Assessment/ User Fees Mgmt- Fee Spread
7419	Text	FM.D.4.Oversight-Audit	"As an Exchange Operator, I must ensure that I am prepared for audit or examination by state and federal authorities."	Reconciliation/ Audit	Oversight - 4b. External Audit
7421	Text	FM.D.4.Oversight-Compliance	"As an Exchange Operator, I must ensure that the books and records compiled by the Exchange are held in a secure environment for no less than ten (10) years."	Reconciliation/ Audit	Oversight - 4. Program Integrity, Compliance
7420	Text	FM.D.4.Oversight-Reconciliaiton	"As an Exchange Operator, I must ensure that the reconciliations done and compiled by the Exchange are available for audit and examination by state and federal authorities "	Reconciliation/ Audit	Oversight - 4c. Reconciliation, Audit
7418	Text	FM.D.4.Oversight-Separate duties	"As an Exchange Operator, I must ensure that I have a proper policy/procedure to separate duties to avoid conflicts and remain compliant with ethical and legal standards."	Reconciliation/ Audit	Oversight - 4a. Separation of Duties
7432	Text	FM.E.3.Risk Data-design feed	"As a state regulator, I want to collect claims and encounter data from small group and individual markets so I can calculate risk adjustments. Conversation: Data design claims and encounter data feed.	Claims/Encounter data	Risk Data 3a. Reinsurance Claims Encounter Data -Design data Feed
7433	Text	FM.E.3.Risk Data-non grandfathered	"As a state regulator, I want to collect claims and encounter data from small group and individual markets so I can calculate risk adjustments. Conversation: Receive claims/encounter data from non-grandfathered plans (individual and small group) in the state.	Claims/Encounter data	Risk Data 3b. Reinsurance Claims Encounter Data-Non-Grandfathered Plans
7369	Text	FM.E.3.Risk Data-summary	"As the Dep't of Financial Services (DFS), I want to collect	Claims Encounter Data	Risk Data 3. Reinsurance

Identifier	Artifact format	Short Name	Primary Text	Category	Title
			claims and encounter data from small group and individual markets so I can calculate risk adjustments. Conversation: Create detail and summary records of processing received claims/encounter data.		Claims, Encounter Data-Detail Summary
7430	Text	FM.E.4.Risk Data-EE data feed	"As an Exchange Operator, I want to report Exchange enrollee and plan data to a Reinsurance entity, to DFS and to HHS to participate in risk spreading programs. Conversation: Create data feed	Enrollee/Plan data	Risk Data - 4. Reinsurance - Enrollee, Plan Data-create data feed
7429	Text	FM.E.4.Risk Data-EE data send	"As an Exchange Operator, I want to report Exchange enrollee and plan data to a Reinsurance entity, to DFS and to HHS to participate in risk spreading programs. Conversation: Send data feed to reinsurance entity/DFS."	Enrollee/Plan data	Risk Data - 4. Reinsurance - Enrollee, Plan Data - Send
7428	Text	FM.E.4.Risk Data-EE design feed	"As an Exchange Operator, I want to report Exchange enrollee and plan data to a Reinsurance entity, to DFS and to HHS to participate in risk spreading programs. Conversation: data Design data feed to reinsurance entity/DFS."	Enrollee/Plan data	Risk Data - 4. Enrollee Plan Data-Design data feed
7436	Text	FM.F.2.Risk Mgmt-collect	"As DFS, I want to collect claims and encounter data from small group and individual markets so I can calculate risk adjustments. Conversation: Use data collected in Risk spreading programs to create data design for enrollee/premium data bound for reinsurance entity."	Reinsurance	Risk Mgmt 2. Collect Claims data Risk Calc
7434	Text	FM.F.3.Risk Mgmt-design	"As an Exchange Operator, I want to extract enrollee data so the HHS can calculate risk adjustment payments and charges.	Risk Adj	Risk Mgmt - 3. Risk Adj Data design Enrollee data
7435	Text	FM.F.3.Risk Mgmt-extract	"As an Exchange Operator, I want to extract enrollee data so the state can calculate risk adjustment payments and charges. Conversation: Send exchange enrollee data for risk adjustment calculation to HHS."	Risk Adj	Risk Mgmt - 3. Risk Adj Data-Enrollee data extract
7437	Text	FM.F.4.Risk Mgmt-send data	"As a state regulator, I want to collect claims and encounter data from small group and individual markets so I can calculate risk adjustments. Conversation: Send data to reinsurance entity ."	Reinsurance	Risk Mgmt 4. Send data to Reinsurer

1.1.3 Individual - DRAFT

Identifier	Artifact format	Primary Text	Short Name	Category	Title
7465	Text	As a consumer I want to tell the exchange about any existing health insurance I or my dependents have so the information can be utilized in my eligibility determination.	Eligibility/ Subsidy Determination	Other insurance	
7473	Text	As a consumer I want to be able to enroll my tax household as long as one of the tax filers for the household meets the residency standard.	Eligibility/ Subsidy Determination	Income- tax hh income and applicable MA/CHP hh income elements that may differ (educational grant/loan, certain AI/AL income)	
7475	Text	As a consumer I want help computing my applicable income so I can shop for insurance through the exchange.	Eligibility/ Subsidy Determination	Income- tax hh income and applicable MA/CHP hh income elements that may differ (educational grant/loan, certain AI/AL income)	
7464	Text	As a consumer I want the exchange to notify me of any issues that arise in the enrollment process and provide me with the option to edit or correct data as applicable.	Enrollment	Summary Page	
7462	Text	As a consumer I want to be able to identify myself or a family member as Native American/ Alaskan Native.	Eligibility/ Subsidy Determination	Household size-tax and MA/CHP household composition	
7459	Text	As a consumer I want to be able to access the exchange using multiple languages (initially Spanish.)	Eligibility/ Subsidy Determination	Create account new / existing	
7458	Text	As a consumer I want to be able to delete all records related to my account prior to a completed eligibility determination, per HITECH regulations.	Eligibility/ Subsidy Determination	Create account new / existing	
7934	Text	As a consumer I want to be able to interactively correct any information in my application.	Eligibility/ Subsidy Determination	Create account new / existing	
7463	Text	As a consumer I want to be able to create a user logon and password to initiate an account through the exchange.	Eligibility/ Subsidy Determination	Create account new / existing	
7469	Text	As a consumer I want to be able to designate a third party to receive notices on my behalf.	Eligibility/ Subsidy Determination	Third Party	
7468	Text	As a consumer I want to be able to electronically sign my application and complete the application process.	Enrollment	Electronic Signature	
7935	Text	As a consumer I want to be able to print out a copy of my completed application.	Eligibility/ Subsidy Determination	Create account new / existing	
7474	Text	As a consumer I want to be able to see my individual and household enrollment history.	Enrollment	Summary Page	
7472	Text	As a consumer I want to notify the exchange that I want to be considered for financial assistance.	Eligibility/ Subsidy Determination	Apply- Determine Eligibility all insurance affordability programs	



Identifier	Artifact format	Primary Text	Short Name	Category	Title
				(e.g., Medicaid, CHIP, APTC, BHP, and CSR). availability of ESI and current employment	
7461	Text	As a consumer I want to be able to tell the exchange my preferred method for receiving copies of notices and other information.	Eligibility/ Subsidy Determination	Create account new / existing	
7471	Text	As a consumer I want to be able to track the status of my enrollment and confirm I have coverage.	Enrollment	Summary Page	
7460	Text	As a consumer I want to be presented with a dashboard that shows all account data, the status of my application, and any current coverage information. It should provide a means to notify the HX of changes in my circumstances, and a means to download PDF copies of all notices ever sent to me.	Eligibility/ Subsidy Determination	Summary Page	
7467	Text	As a consumer I want to be presented with a page that tells me the status of any documentation I need to provide, what time limits for presenting it, and a means to electronically or by mail submit documentation. This includes uploading files, scans, faxes, images from cell phones, etc.	Eligibility/ Subsidy Determination	Exceptions	
7470	Text	As a consumer I want to give just the minimum amount of information necessary to evaluate eligibility for QHPs and Insurance Affordability Programs.	Eligibility/ Subsidy Determination	Apply- Determine Eligibility all insurance affordability programs (e.g., Medicaid, CHIP, APTC, BHP, and CSR). availability of ESI and current employment	
7457	Text	As a consumer, I want to be able to shop for insurance without being required to have a determination for financial assistance.	Eligibility/ Subsidy Determination	Referral (non-magi)	
7450	Text	As a consumer I want to apply for an individual mandate exemption.	Individual Exemptions	Determine exemption eligibility	
7444	Text	As a consumer I want to designate an authorized representative who may access my user account.	Eligibility/ Subsidy Determination	Right/ Declarations/ Third Parties	
7451	Text	As a consumer I want to renew my individual mandate exemption.	Individual Exemptions	Prepare and update individual exemption	
7443	Text	As a consumer I would like to create a full user account in order to purchase insurance through the NY HX.	Eligibility/ Subsidy Determination	Create account new/existing	
7445	Text	As a consumer I would like to determine eligibility for insurance through the NY HX for myself and/or other members of my household.	Eligibility/ Subsidy Determination	Apply- Determine Eligibility all insurance affordability programs (e.g., Medicaid, CHIP, APTC, BHP, and CSR). availability of	



Identifier	Artifact format	Primary Text	Short Name	Category	Title
				ESI and current employment	
7442	Text	As a consumer I would like to shop anonymously in order to explore insurance options through the exchange.	Anonymous Shopper/General Lookup	Screener Tool	
7447	Text	As a consumer, I would like to disenroll myself and/or members of my household from an exchange health plan to purchase insurance outside the exchange.	Life Events	Change / Delete / Update	
7446	Text	As a consumer, I would like to enroll myself and/or members of my household into an exchange health plan.	Enrollment	Consumer Enrollment	
7448	Text	As a consumer, I would like to renew eligibility and enrollment for myself and/or members of my household to maintain enrollment in the exchange.	Re-Enrollment	Connect back to enrollment	
7449	Text	As a consumer, I would like the ability to appeal decisions made by the exchange.	Appeals	Appeal eligibility determination	
7476	Text	As an exchange I want to identify proof anyone creating a full account.	Eligibility/ Subsidy Determination	Identity	
7942	Text	As the exchange I want to be able to capture all data required by the federal government.	Eligibility/ Subsidy Determination	Verify	1.1.1
7479	Text	As the exchange I want to be able to maintain multiple eligibility requirement clocks and manage each upon expiration.	Eligibility/ Subsidy Determination	Apply- Determine Eligibility all insurance affordability programs (e.g., Medicaid, CHIP, APTC, BHP, and CSR) availability of ESI and current employment	
7943	Text	As the exchange I want to be able to capture and store all data required by the federal government.	Eligibility/ Subsidy Determination	Verify	
7477	Text	As the exchange I want to be able to develop shared functionality for both Individual EE and SHOP.	Eligibility/ Subsidy Determination	Create account new / existing	
7478	Text	As the exchange I want to gather all the information needed to make sure a consumer is eligible to purchase coverage on the exchange.	Eligibility/ Subsidy Determination	Apply- Determine Eligibility all insurance affordability programs (e.g., Medicaid, CHIP, APTC, BHP, and CSR) availability of ESI and current employment	
7948	Text	As the exchange I want to provide information in simple and understandable terms.	Eligibility/ Subsidy Determination	Consent / Privacy	
7930	Text	As the exchange, I need to provide a progress bar to improve the user experience by giving the user a sense of where they are in the process and how long it will take them to complete the application.	Eligibility/ Subsidy Determination	Create account new / existing	
7931	Text	As the exchange, I need provide access to the user portal via multiple browsers inclusive of (Safari, Google	Eligibility/ Subsidy Determination	Create account new / existing	

Identifier	Artifact format	Primary Text	Short Name	Category	Title
		Chrome, IE, and Firefox) so a user can navigate the website.			
7928	Text	As the exchange, I need to establish auditing of data, updates, and other changes in order to maintain oversight and control.	Eligibility/ Subsidy Determination	Create account new / existing	
7453	Text	As the exchange, I need to establish user roles and role based security in order to comply with HIPAA, NIST and FIPS security standards.	Eligibility/ Subsidy Determination	Create account new / existing	
7932	Text	As the exchange, I need to provide a homepage that is intuitive and gives the user the ability to identify the type of user they are (i.e. Individual, employee, employer, broker and assistor) so the user is presented with the appropriate screens.	Eligibility/ Subsidy Determination	Create account new / existing	
7929	Text	As the exchange, I need to provide data management inclusive of data download, upload, data deletion (application withdrawal), and summary data.	Eligibility/ Subsidy Determination	Create account new / existing	
7933	Text	As the exchange, I need to provide persistent features that offer the user multiple functions inclusive of a site map, table of contents, glossary of terms, chat capabilities, ability to change font sizes, keyword searches, and printable or downloadable documents and notifications.	Eligibility/ Subsidy Determination	Create account new / existing	
7452	Text	As the exchange, I need to provide user account management inclusive of establishing a user account, returning user log-ins; updating profile, password updates/changes, and account lock out.	Life Events	Change / Delete / Update - user account	
7938	Text	As the exchange, I want the system to log all access to the website.	Eligibility/ Subsidy Determination	Create account new / existing	
7939	Text	As the exchange, I want the system to track and log all modifications made to records in the exchange.	Eligibility/ Subsidy Determination	Create account new / existing	
7937	Text	As the exchange, I want the web site to comply with all Federal and State security requirements	Eligibility/ Subsidy Determination	Create account new / existing	
7936	Text	As the exchange, I want the web site to comply with all Federal and State accessibility requirements	Eligibility/ Subsidy Determination	Create account new / existing	
7947	Text	As the exchange, I want to existing Medicaid and CHP member information to be pre-loaded into the system	Eligibility/ Subsidy Determination	Create account new / existing	
7940	Text	As the exchange, I want to be able to ping multiple sources of data, store the results, and use predefined rules to determine which data is primary.	Eligibility/ Subsidy Determination	Verify	
7946	Text	As the exchange, I want to notify the MMIS of Medicaid and CHP eligibility determinations	Eligibility/ Subsidy Determination	Apply - Determine Eligibility all insurance affordability programs	

Identifier	Artifact format	Primary Text	Short Name	Category	Title
				(e.g., Medicaid, CHIP, APTC, BHP, and CSR). availability of ESI and current employment	
7945	Text	As the exchange, I want to send household, percent off FPL, and other appropriate information to enable the federal hub to derive APTC and CSR.	Eligibility/ Subsidy Determination	Apply- Determine Eligibility all insurance affordability programs (e.g., Medicaid, CHIP, APTC, BHP, and CSR). availability of ESI and current employment	
7941	Text	As the exchange, I want to store a history of all changes to an account.	Eligibility/ Subsidy Determination	Create account new / existing	
7927	Text	As the exchange, I would like to conduct periodic enrollment reporting and reconciliation	Eligibility/ Subsidy Determination	Prepare and update individual exemption application	
7456	Text	As the exchange I want to provide the user the opportunity to correct any information that is disputed by an electronic source, in order to allow the application to continue.	Eligibility/ Subsidy Determination	Exceptions	
7455	Text	As the exchange I want to provide the user the opportunity to appeal any information that is disputed by an electronic source, in order to allow them to continue in the process.	Appeals	Reflect Appeal Decision in Web Site	
8313	Text	As the exchange, I need to provide notices to all users via multiple means, including electronic and hard copy.		Access/Next Steps/Notice	

1.1.2 Oversight - DRAFT

Identifier	Artifact format	Short Name	Primary Text	Category	Title
8288	Text	O.A.1.Multiple Browsers	As a NY-HX user I want to access via: IE 8 or 9, Firefox x or x, Chrome y or y, and Safari	Usability	OVR.A.1.Oversight Browser Access
8289	Text	O.A.2.Multiple Languages	As a NY-HX user I want the option to select multiple languages	Usability	OVR.A.2.Multiple Languages
8290	Text	O.A.3. Static Information	As the NY-HX user I want to view all pages of static information on the NY-HX	Usability	OVR.A.3. Static Information
8291	Text	O.A.4.Download Documents	As a NY-HX user I want to download documents	Usability	OVR.A.4.Download Documents
8292	Text	O.A.5.Up-Load Documents	As a NY-HX user I want to up-load documents to NY-HX	Usability	OVR.A.5.Up-Load Documents
8293	Text	O.B.1.Consumer Create Account	As a consumer I want to create an account Username/PW	User Access Management	OVR.B.1.Comsumer Create Account
8302	Text	O.B.10.Navigator Manage Role	As the NY-HX Navigator agency I want to manage role based access for	User Access Management	OVR.B.10.Navigator Manage Role

Identifier	Artifact format	Short Name	Primary Text	Category	Title
			users		
8294	Text	O.B.10.Restrict Data Element Access	As an NY-HX Administrator I want to restrict access for other users to the data element level	User Access Management	OVR.B.10.Restrict Data Element Access
8295	Text	O.B.2.Sys Admin Account	As an NY-HX Systems Administrator I want to create accounts Username/PW for back-office users	User Access Management	OVR.B.2.Sys Admin Account
8296	Text	O.B.3.Batch Creation	As an NY-HX Systems Administrator I want to create a batch of Usernames/PW e.g. group of Navigators complete requests and are ready to start using NY-HX	User Access Management	OVR.B.3.Batch Creation
8297	Text	O.B.4.Deactivate User	As an NY-HX Systems Administrator I want to deactivate users	User Access Management	OVR.B.4.Deactivate User
8298	Text	O.B.5.Re-Activate User	As an NY-HX Systems Administrator I want to re-activate users	User Access Management	OVR.B.5.Re-Activate User
8299	Text	O.B.6.Role Based Access	As an NY-HX Systems Administrator I want to manage role-based access for users	User Access Management	OVR.B.6.Role Based Access
8300	Text	O.B.7.CSR Manage Role	As the NY-HX customer service representative agency I want to manage role based access for users	User Access Management	OVR.B.7.CSR Manage Role
8301	Text	O.B.9.Restrict Table Access	As an NY-HX Administrator I want to restrict access for other users to the table level	User Access Management	OVR.B.9.Restrict Table Access
8303	Text	O.C.1.Ad Hoc reporting	As an NY-HX Administrator I need to produce ad-hoc inquiry reports about NY HX user activity	Reporting	OVR.C.1.Oversight Ad Hoc reporting
8304	Text	O.C.2. User created report	As an NY-HX Administrator I need to produce a report that will run periodically for external submissions	Reporting	OVR.C.2.Oversight User created report
8305	Text	O.C.3.Management Reports	As an Exchange manager, I need reports to monitor and manage	Reporting	OVR.C.3.Management Reports
8306	Text	O.D.1.Generic Template	As an NY-HX Administrator I want to develop a Generic Template for Notices	Notices	OVR.D.1.Generic Template
8307	Text	O.D.2.Distribute Notices	As an NY-HX Administrator I want to distribute notices via multiple channels	Notices	OVR.D.2.Distribute Notices



Identifier	Artifact format	Short Name	Primary Text	Category	Title
8308	Text	O.D.3.Display Notices	As an NY-HX Administrator I want to display notices in NY-HX (similar to Outlook Inbox or FFE approach)	Notices	OVR.D.3.Display Notices
8309	Text	O.D.4.Inbox Message	As an NY-HX Administrator I want o place a notice/message from within NY-HX into a User's Inbox	Notices	OVR.D.4.Inbox Message

1.1.3 Plan Management - DRAFT

Identifier	Artifact format	Short Name	Primary Text	Category	Title
6907	Text	PM.B.1Annual Load MMC	"As an Exchange Administrator, I want to create a process to receive the Medicaid Managed Care (MMC) Plan data from the Department of Health so I can make the plans available on the Exchange."	Annual Load of Medicaid Managed Care (MMC) Plan Data	Annual Plan Load - 1. Annual Load of Medicaid Managed Care (MMC) Plan Data
6908	Text	PM.B.2Annual Load CHIP	"As an Exchange Administrator, I want to create a process to receive the Child Health Insurance Plan (CHIP) data from the Department of Health so I can make the plans available on the Exchange."	Annual Load of Child Health Insurance Plan (CHIP) Data	Annual Plan Load - 2. Annual Load of Child Health Insurance Plan (CHIP) Data
6909	Text	PM.B.3Annual Load BHP	"As an Exchange Administrator, I want to create a process to receive the Basic Health Plan (BHP) data from the Department of Health so I can make the plans available on the Exchange."	Annual Load of Basic Health Plan (BHP) Data	Annual Plan Load - 3. Annual Load of Basic Health Plan (BHP) Data
6910	Text	PM.B.4Annual Load Multi	"As an Exchange Administrator, I want to create a process to receive the Multi-State Plan data from the Department of	Annual Load of Multi-State Plan Data	Annual Plan Load - 4. Annual Load of Multi-State Plan Data

Identifier	Artifact format	Short Name	Primary Text	Category	Title
			Health (or SERFF) so I can make the plans available on the Exchange."		
6911	Text	PM.C.1Pull Provider Network	"As an Exchange Administrator, I want to create a process to pull provider network data from the Department of Health PNDS so I can make the plan's provider network available on the Exchange."	Pull Provider Network Data from the Provider Network Data System (PNDS)	Provider Network - 1. Pull Provider Network Data from the Provider Network Data System (PNDS)
6912	Text	PM.C.2Pull Geo Axis	"As an Exchange Administrator, I want to create a process to pull GEO Axis data from the Department of Health PNDS so I can return providers within a specific requested geographic location on the Exchange."	Pull GEO-Axis Data from the Provider Network Data System (PNDS)	Provider Network - 2. Pull GEO-Axis Data from the Provider Network Data System (PNDS)
6914	Text	PM.C.3Process Provider Net Changes	"As an Exchange Administrator, I want to build a function to process changes to a plan's provider network data so I can ensure that the most current provider network data is available on the Exchange."	Process Changes to Provider Network Data	Provider Network - 3. Process Changes to Provider Network Data
6913	Text	PM.C.4Store Provider Network	"As an Exchange Administrator, I want to create a process to store provider network data received from the Department of Health PNDS so I can associate the network providers with the correct plan on the Exchange."	Store Provider Network Data from the Provider Network Data System (PNDS)	Provider Network - 4. Store Provider Network Data from the Provider Network Data System (PNDS)
6915	Text	PM.D.1Receive Quality Data	"As an Exchange Administrator, I want to create a process to receive plan quality data from the	Receive Quality Data from the Quality Assurance Reporting	Plan Quality - 1. Receive Quality Data from the Quality Assurance Reporting Requirements (QARR) System



Identifier	Artifact format	Short Name	Primary Text	Category	Title
			Department of Health QARR system so I can make the plan's quality data available on the Exchange."	Requirements (QARR) System	
6916	Text	PM.D.2Store Quality Data	"As an Exchange Administrator, I want to create a process to store plan quality data received from the Department of Health QARR system so I can associate the quality data with the correct plan on the Exchange."	Store Quality Data from the Quality Assurance Reporting Requirements (QARR) System	Plan Quality - 2. Store Quality Data from the Quality Assurance Reporting Requirements (QARR) System
6917	Text	PM.E.1Recertification	"As an Exchange Administrator, I want to build a function to process recertification actions so I can make the new/updated plan available on the Exchange."	Process Recertification Actions	Operation Maintenance and Compliance Monitoring - 1. Process Recertification Actions
6918	Text	PM.E.2Decertification	"As an Exchange Administrator, I want to build a function to process decertification actions so I can remove decertified plans from the Exchange."	Process Decertification Actions	Operation Maintenance and Compliance Monitoring - 2. Process Decertification Actions
6919	Text	PM.E.3Decertification Appeal	"Note: This process will be done by DFS/DOH. As an Exchange Administrator; I want to create a process to respond to an appeal of a decertification decision so I can respond to and adjudicate an Issuer's request for an appeal."	Process Appeal of Decertification Decision	Operation Maintenance and Compliance Monitoring - 3. Process Appeal of Decertification Decision
6920	Text	PM.E.4Suspend Enrollment	"As an Exchange Administrator, I want to build a function to suspend new enrollment into a plan so the plan is not available for	Suspend New Enrollment	Operation Maintenance and Compliance Monitoring - 4. Suspend New Enrollment



Identifier	Artifact format	Short Name	Primary Text	Category	Title
			new enrollment on the Exchange."		
6921	Text	PM.E.5Lift Suspension	"As an Exchange Administrator, I want to build a function to remove the suspension on new enrollments into a plan so I can make the plan available on the Exchange for new enrollment."	Lift Suspension on New Enrollment	Operation Maintenance and Compliance Monitoring - 5. Lift Suspension on New Enrollment
6922	Text	PM.E.6Rate Change	"As an Exchange Administrator, I want to build a function to process rate changes so I can make the appropriate rate available in the plan selection results on the Exchange."	Process Rate Change	Operation Maintenance and Compliance Monitoring - 6 Process Rate Change
6923	Text	PM.E.7Benefit Change	"As an Exchange Administrator, I want to build a function to process benefit changes so I can make the appropriate benefits available in the plan selection results on the Exchange."	Process Benefit Change	Operation Maintenance and Compliance Monitoring - 7. Process Benefit Change
6924	Text	PM.E.8Monitor Provider Network Changes	"As an Exchange Administrator, I want to create a process to monitor and assess changes to a plan's s provider network data so I can ensure that all network adequacy requirements are met."	Monitor and Assess Provider Network Changes	Operation Maintenance and Compliance Monitoring - 8. Monitor and Assess Provider Network Changes
6925	Text	PM.F.1QHP Formulary	"As an Exchange Operator, I want to receive the QHP plan's formulary URL via SERFF so I can display it as part of the plan data on the Exchange."	Accept QHP Plan Formulary URL via SERFF	Formulary - 1. Accept QHP Plan Formulary URL via SERFF
6926	Text	PM.F.2CHIP Formulary	"As an Exchange Operator, I want to receive the CHIP plan's formulary	Accept CHIP Plan Formulary URL via CHIP	Formulary - 2 Accept CHIP Plan Formulary URL via CHIP Template



Identifier	Artifact format	Short Name	Primary Text	Category	Title
			URL via the CHIP template so I can display it as part of the plan data on the Exchange."	Template	
6927	Text	PM.F.3MMC Formulary	"As an Exchange Operator, I want to receive the MMC plan's formulary URL via the Medicaid template so I can display it as part of the plan data on the Exchange."	Accept MMC Plan Formulary URL via Medicaid Template	Formulary - 3. Accept MMC Plan Formulary URL via Medicaid Template
6928	Text	PM.F.4BHPFormulary	"As an Exchange Operator, I want to receive the BHP plan's formulary URL via the Medicaid template so I can display it as part of the plan data on the Exchange."	Accept BHP Plan Formulary URL via Medicaid Template	Formulary - 4. Accept BHP Plan Formulary URL via Medicaid Template
6929		PM.G.1Design PM System	"As an Exchange Administrator, I want to design a Plan Management System to support the collection and storage of plan data so I can make plans available on the Exchange."	Design Plan Management System	Initial NY-HX Load - 1. Design Plan Management System
6930		PM.G.2PM Integration	"As an Exchange Administrator, I want to ensure that Plan Management integrates with other Exchange Business Areas so the data flow within the Exchange is accurate and meets consumer needs. "	Integrate Plan Management with other Exchange Business Areas	Initial NY-HX Load - 2. Integrate Plan Management with Other Exchange Business Areas
6931		PM.G.3Initial Load QHP	"As an Exchange Administrator, I want to create a process to receive the QHP data from SERFF and move it from the UAT tables to Production so I can make the plans available on the Exchange."	Initial Load of QHP Data from SERFF moved from UAT Tables to Production	Initial NY-HX Load - 3. Initial Load of QHP Data from SERFF moved from UAT Tables to Production
6932		PM.G.4Initial Load MMC	"As an Exchange Administrator, I	Initial Load of Medicaid	Initial NY-HX Load - 4. Initial Load of Medicaid



Identifier	Artifact format	Short Name	Primary Text	Category	Title
			want to create a process to move the Medicaid Managed Care (MMC) Plan data from the UAT Table to Production so I can make the plans available on the Exchange."	Managed Care (MMC) Plan Data moved from UAT Tables to Production	Managed Care (MMC) Plan Data moved from UAT Tables to Production
6933		PM.G.5Initial Load CHIP	"As an Exchange Administrator, I want to create a process to move the Child Health Insurance Plan (CHIP) data from the UAT Tables to Production so I can make the plans available on the Exchange. "	Initial Load of Child Health Insurance Plan (CHIP) Data moved from UAT Tables to Production	Initial NY-HX Load - 5. Initial Load of Child Health Insurance Plan (CHIP) Data moved from UAT Tables to Production
6934		PM.G.6Initial Load BHP	"As an Exchange Administrator, I want to create a process to move the Basic Health Plan (BHP) data from the UAT Tables to Production so I can make the plans available on the Exchange."	Initial Load of Basic Health Plan (BHP) Data moved from UAT Tables to Production	Initial NY-HX Load - 6. Initial Load of Basic Health Plan (BHP) Data moved from UAT Tables to Production
6935		PM.G.7Initial Load Provider	"As an Exchange Administrator, I want to create a process to move the Provider Network data from the UAT Tables to Production so I can make the Provider Network data available on the Exchange. "	Initial Load of Provider Network Data moved from UAT Tables to Production	Initial NY-HX Load - 7. Initial Load of Provider Network Data moved from UAT Tables to Production
6936		PM.G.8Initial Load Quality	"As an Exchange Administrator, I want to create a process to move the Quality data from the UAT Tables to Production so I can make the plan's Quality data available on the Exchange. "	Initial Load of Quality Data moved from UAT Tables to Production	Initial NY-HX Load - 8. Initial Load of Quality Data moved from UAT Tables to Production
7439		PM.A.1Plans Solicitation	"There is No RRC Story associated	State Solicitations	Plan Certification - 1. State Solicitations for



Identifier	Artifact format	Short Name	Primary Text	Category	Title
			with this Sub-category. This work is being done by DOH. "	for Plans	Plans
7440		PM.A.2Support QHP Certification Process	"There is No RRC Story associated with this Sub-category. This work is being done by DFS/DOH."	Provide Support for the QHP Certification Process	Plan Certification - 2. Provide Support for the QHP Certification Process
7441		PM.A.3Ensure Ongoing QHP Comp	"There is No RRC Story associated with this Sub-category. This work is being done by DFS/DOH."	Ensure Ongoing QHP Compliance with QHP Certification	Plan Certification - 3. Ensure Ongoing QHP Compliance with QHP Certification
8052		PM.B.5.Annual Load Quality	"As an Exchange Administrator, I want to create a process to receive the Quality data from the Department of Health (QARR) so I can make the plans updated quality data available on the Exchange."	Annual Load of Quality Data	Annual Plan Load - 5. Annual Load of Quality Data
8067		PM.G.9Initial Load Multi State	"As an Exchange Administrator, I want to create a process to move the Multi-State Plan data from the UAT Tables to Production so I can make the plans available on the Exchange."	Initial Load of Multi-State Plans Data moved from UAT Tables to Production	Initial NY-HX Load - 9 - Initial Load of Multi-State Plans Data moved from UAT Tables to Production

1.1.4 SHOP - DRAFT

Identifier	Artifact format	Short Name	Primary Text	Category	Title
8055	Text	SEE.A.1.Employee Register	"Epic As an employee I want to enter my login information to see if I am on my employer's roster on the Exchange. Acceptance Criteria: Comments:"	EmployEE Register	Employee Register - 1. Confirm EE to ER Roster and capture min EE profile
7128	Text	SEE.D.1.Employee Plan Enrollment	"Epic: As an employee I want to enter my enrollment information for myself and my	EmployEE Enrollment	EE Plan Enrollment - 1. Capture EE (and family) Enrollment Information

Identifier	Artifact format	Short Name	Primary Text	Category	Title
			family.Acceptance Criteria:Comments:"		
7124	Text	SEE.C.1.EE Quoting/Select QHP	"Epic: 1. As an employee I would like to see what my costs are to buy insurance through my employer sponsored plan Acceptance Criteria: Comments:"	EmployEE View Premium & Select QHP	EE Quoting/Select QHP - 1. Estimate EE cost to provide Health Ins
7120	Text	SEE.B.1.Eligibility/S ubsidy Determination	"Epic: As an employee I want to determine if I am eligible for my employer sponsored coverage. Acceptance Criteria: Comments:"	EmployEE Eligibility	Eligibility/Subsidy Determination - 1. If Affordability test not met
7132	Text	SEE.E.1.Life Events	"Epic: 1. As the Exchange I want to maintain the employees accounts Acceptance Criteria:Comments:"	EmployEE Qualifying Events	Life Events - 1. Add /Change / Dependants
7129	Text	SEE.D.2.EE Plan Enrollment	"Epic: As the Exchange I need to calculate the premium payment for the employee Acceptance Criteria: Comments:"	EmployEE Enrollment	EE Plan Enrollment - 2. Calculate Premium Payment EE/ER
7125	Text	SEE.C.2.EE Quoting/Select QHP	"Epic: As an employee I would like to be able to sort and filter plans for selection Acceptance Criteria: Comments:"	EmployEE View Premium & Select QHP	EE Quoting/Select QHP - 2. Search /Filter QHPs
7121	Text	SEE.B.2.Eligibility/S ubsidy Determination	"Epic: As the Exchange I need to validate the employees address"	EmployEE Eligibility	Eligibility/Subsidy Determination - 2. Verify address
7116	Text	SEE.A.2.Employee Register	"Epic: As an employee I want to determine my eligibility for Exchange Services.. Acceptance Criteria: Comments:"	EmployEE Register	Employee Register - 2. Determine eligible for Exchange Services (See Verify in Individual)
7133	Text	SEE.E.2.Life Events	"Epic: As an employee I want it to be possible to change my plan selection in the Exchange in relation to life events	EmployEE Qualifying Events	Life Events - 2. Change / Delete/ Update Demographics



Identifier	Artifact format	Short Name	Primary Text	Category	Title
7130	Text	SEE.D.3.EE Plan Enrollment	"Epic: As the Exchange I want to notify the employer that their employee's are enrolling in health insurance Acceptance Criteria: Comments:"	EmployEE Enrollment	EE Plan Enrollment - 3. Convey Enrollment to EmployER
7126	Text	SEE.C.3.EE Quoting/Select QHP	"Epic: As an employee I would like to be able to select a QHP Acceptance Criteria: Comments:"	EmployEE View Premium & Select QHP	EE Quoting/Select QHP - 3. Choose a Plan
7122	Text	SEE.B.3.Eligibility/S ubsidy Determination	"Epic: As an employee I want to see what my eligibility requirements are through the Exchange if I am an Indian Acceptance Criteria: Comments:"	EmployEE Eligibility	Eligibility/Subsidy Determination - 3. American Indian Citizenship
7134	Text	SEE.E.3.Life Events	"Epic: As an employee I want the ability to add a dependent, delete a dependent etc Acceptance Criteria: Comments:"	EmployEE Qualifying Events	Life Events - 3. Change in life circumstance
7131	Text	SEE.D.4.EE Plan Enrollment	"Epic: As the Exchange I want to notify the insurer that the employee has enrolled with their plan Acceptance Criteria: Comments:"	EmployEE Enrollment	EE Plan Enrollment - 4. Issuer validation of EE
7127	Text	SEE.C.4.EE Quoting/Select QHP	"Epic: As an employee I would like to be able to confirm the selection of my plan after selecting my plan Acceptance Criteria: Comments:"	EmployEE View Premium & Select QHP	EE Quoting/Select QHP - 4. Check out
7123	Text	SEE.B.4.Eligibility/S ubsidy Determination	"Epic: As the Exchange I need to determine an employee's eligibility for health insurance through their employer's plan Criteria: Comments:"	EmployEE Eligibility	Eligibility/Subsidy Determination - 4. Determine how the 270 and 271 Eligibility Requirements be defined for SHOP
7118	Text	SEE.A.4.Employee Register	"Epic: As the Exchange I want to stop an employee from registering for	EmployEE Register	Employee Register - 4. If Affordability test not met



Identifier	Artifact format	Short Name	Primary Text	Category	Title
			employer sponsored coverage if they are not eligible Acceptance Criteria: Comments:"		
7135	Text	SEE.E.4.Life Events	"Epic: 4.As an employee I want the Exchange to be able to handle my COBRA Acceptance Criteria:Comments:"	EmployEE Qualifying Events	Life Events - 4. COBRA
7119	Text	SEE.A.5.Employee Register	"Epic As an employee I want to register for coverage through the Exchange and attest to being an Indian Acceptance Criteria: Comments:"	EmployEE Register	Employee Register - 5. American Indian/Alaskan Native
7136	Text	SEE.F.1.Re-Enrollment	"Epic: As an employee I want to be able to re-enroll in health insurance Acceptance Criteria: Comments:"	EmployEE Re-Enrollment	Re-Enrollment - 1. Employee Open Enrollment
8010	Text	SEE.G.1.Appeals-Employee	Epic:As an employee I want the ability to appeal an Exchange decision and register complaints with the Exchange. Acceptance Criteria: Comments:	Appeals	SEE.G.1.Appeals-Employee Ability to appeal a decision through the Exchange.
7077	Text	SER.A.1.EmployER Anonymous Shopping	"Epic: As an employer I want to shop anonymously for health insurance to see what plans are available to me and how much it will cost. Acceptance Criteria:Comments:"	EmployER Anonymous Shopping	EmployER Anonymous Shopping - 1. Rate comparison, plan availability
7078	Text	SER.B.1.Employer Register	"Epic: 1. As the Exchange I want to capture the minimum data necessary to allow an employer to begin their shopping experience Acceptance Criteria:Comments:"	EmployER Account Setup / Eligibility Determination	Employer Register - 1. Capture minimum ER profile
7080	Text	SER.B.3.Employer Register	"Epic: 3. As an employer I want to create an account on the Exchange	EmployER Account Setup / Eligibility Determination	Employer Register - 3. Create Exchange Acc't



Identifier	Artifact format	Short Name	Primary Text	Category	Title
			Acceptance Criteria:Comments:"		
7082	Text	SER.B.5.Employer Register	"Epic: 5. As the Exchange, I would like to notify employers who are not eligible for SHOP. Acceptance Criteria: Comments:"	EmployER Account Setup / Eligibility Determination	Employer Register - 5. Exception Processing
7083	Text	SER.B.6.Employer Register	"Epic: 6. As an employer I would like to enter data to find out if I am eligible to offer benefits to my employees through the Exchange. Acceptance Criteria:Comments:"	EmployER Account Setup / Eligibility Determination	Employer Register - 6. EmployER eligibility data
7084	Text	SER.C.1.Employer Quoting / Select QHP	"Epic: 1. As an employer I would like to define my contribution level to see what my costs will be for offering health insurance to my employees through the Exchange Acceptance Criteria: Comments:"	EmployER Contribution / Tax Credit Determination	ER Quoting / Select QHP - 1. Calculate employer contribution
7085	Text	SER.C.2.Employer Quoting / Select QHP	"Epic: 2.As an employer I would like to estimate the tax credits I am eligible for through the Exchange Acceptance Criteria:Comments:"	EmployER Contribution / Tax Credit Determination	ER Quoting / Select QHP - 2. Estimate Employer Tax Credit
7087	Text	SER.C.4.Employer Quoting / Select QHP	"Epic: 4. As an employer I would like to be able to estimate my total cost for providing health insurance to my employees through the Exchange Acceptance Criteria: Comments:"	EmployER Plan Selection	ER Quoting / Select QHP - 4. Estimate ER cost to provide Health Ins
7088	Text	SER.C.5.Employer Quoting / Select QHP	"Epic: 5.As an employer I would like the capability to search and filter QHPs during my shopping experience on the Exchange Acceptance Criteria:Comments:"	EmployER Plan Selection	ER Quoting / Select QHP - 5. Search /Filter Plans
7089	Text	SER.C.6.Employer Quoting / Select	"Epic: As an employer I would like	EmployER Plan Selection	ER Quoting / Select QHP - 6.



Identifier	Artifact format	Short Name	Primary Text	Category	Title
		QHP	to choose one or more Qualified Health Plans that I can offer my employees Acceptance Criteria: Comments:"		Choose Level/Plan
7090	Text	SER.C.7.Employer Quoting / Select QHP	"Epic: As an employer I would like to be able to pass this information on to my employees regarding costs and plans offered. Acceptance Criteria: Comments:"	EmployER Plan Selection	ER Quoting / Select QHP - 7. Provide EE affordability guidance
7091	Text	SER.C.8.ER Quoting / Select QHP	"Epic: As an employer once I've selected my plans and entered all my information I would like a process to confirm selections in the Exchange Acceptance Criteria: Comments:"	EmployER Plan Selection	ER Quoting / Select QHP - 8. Check out
7092	Text	SER.D.1.Employee Roster	"Epic: 1. As an employer I would like a simple process for entering my employee information such as Name, Household (plan) type and zip code so they can enroll in health insurance Acceptance Criteria: Comments:"	EmployER Enroll / EmployEE Roster Submittal	Employee Roster - 1. Enter minimal EE data
7094	Text	SER.D.3.Employee Roster	"Epic: 3.As an employer I would like the Exchange to have a process in place where I can batch upload my employee roster data Acceptance Criteria: Comments:"	EmployER Enroll / EmployEE Roster Submittal	Employee Roster - 3. Batch Roster up-load
7096	Text	SER.D.5.Employee Roster	"Epic: 5.As an Exchange Admin I need to have a process in place to verify that the small business registering on the Exchange is a valid NY company Acceptance Criteria: Comments:"	EmployER Enroll / EmployEE Roster Submittal	Employee Roster - 5. Validate Corp
7097	Text	SER.D.6.Employee Roster	"Epic: As an Exchange Admin I	EmployER Enroll /	Employee Roster - 6. Convey

Identifier	Artifact format	Short Name	Primary Text	Category	Title
			need to have a process in place to notify employees that their employer has enrolled in health insurance through the Exchange and will offer selected plans Acceptance Criteria: Comments:"	EmployEE Roster Submittal	Enrollment to EmployEEs
7098	Text	SER.E.1.Aggregate Premium	"Epic: 1.As an Exchange Admin I need to have a process in place to aggregate premium payments for enrolled employers Acceptance Criteria:Comments:"	EmployER Bill, Collect, A/P, A/R, Admin	Aggregate Premium - 1. Aggregate Premium Payment
7099	Text	SER.E.2. Aggregate Premium	"Epic: 2. As the Exchange I need to abide by all 820 payment requirements Acceptance Criteria: Comments: An EDI 820 Payment Order/Remittance Advice is an electronic document that can be used to initiate payments and send remittance information. EDI stands for Electronic Data Interchange. Companies use EDI to reduce the errors and costs associated with the manual keying of data, and to speed up the process of sending and receiving Purchase 820. A trading partner will send an 820 Payment Order/Remittance Advice to a supplier when payment is made, especially in the case of an Electronic Funds Transfer. This document provides suppliers with the ability to reconcile which invoices have	EmployER Bill, Collect, A/P, A/R, Admin	Aggregate Premium - 2. 820 Payment Requirements

Identifier	Artifact format	Short Name	Primary Text	Category	Title
			<p>been paid in full for any given payment.</p> <p>EDI is a mature electronic document format that has been carefully defined both by the companies that require their trading partners to use EDI and by standards bodies. The two recognized standards for EDI are ANSI X.12 and UN/EDIFACT. ANSI X.12 is by far the most widely used standard in the United States. UN/EDIFACT is more popular outside the United States, although it is used by US automotive manufactures.</p> <p>Each EDI Payment Order/Remittance Advice document will have data organized into segments and data elements. Each segment contains at least one data element. Each data element is a data field. The standards bodies have allowed for every conceivable possibility for data on the EDI Payment Order/Remittance Advice document. Any one company will use a small subset of the available choices within the ANS X.12 or UN/EDIFACT standards.</p> <p>Each company that requires its suppliers to use EDI will have an EDI Guide or mapping document. The EDI Guide will specify each segment and data</p>		



Identifier	Artifact format	Short Name	Primary Text	Category	Title
			element, the allowable values for each, and the applicable business rules that must be followed. EDI guides are detailed and extensive. It is not unusual for an EDI Guide to be more than 100 pages long."		
7100	Text	SER.E.3.Aggregate Premium	"Epic: 3. As the Exchange I need to be able to seamlessly flow funds from SHOP through Financial Management in order to make payments to employers Acceptance Criteria:Comments:"	EmployER Bill, Collect, A/P, A/R, Admin	Aggregate Premium - 3. Integration between Financial Management and SHOP
7101	Text	SER.F.1.Appeals	"Epic: 1. As an employer I would like to be able to appeal decisions made by the Exchange Acceptance Criteria: Comments:"	Appeals	ER Appeals - 1. Ability to appeal a decision through the Exchange.
7138	Text	SER.G.1.Appeals	"Epic: 1. As an employee I want the ability to appeal an Exchange decision Acceptance Criteria: Comments:"	Appeals	Appeals - 1. Ability to appeal a decision through the Exchange.
7102	Text	SER.G.1.Monitor and reconcile EE Enrollment	"Epic: 1.As an employer, as my roster changes, I would like the ability to re-estimate my health insurance costs through the Exchange Acceptance Criteria: Comments:"	Reconciliations	Monitor and Reconcile EE Enrollment - 1. Re-Estimate ER costs
7111	Text	SER.G.10.Monitor and reconcile EE Enrollment	"Epic: As the Exchange I need to have a process in place to deal with employer needs (i.e. enrollment) by offering different avenues of communication Acceptance Criteria:Comments:"	New Hires / Terminations / Account Management	Monitor and Reconcile EE Enrollment - 10. Directing ER to 800 number or mailing address
7103	Text	SER.G.2.Monitor and reconcile EE Enrollment	"Epic: 2. As an employer I would like the ability to offer	Reconciliations	Monitor and Reconcile EE Enrollment - 2.



Identifier	Artifact format	Short Name	Primary Text	Category	Title
			payroll deduction to my employees through the Exchange Acceptance Criteria:Comments:"		Present P/R deduction schedule
7104	Text	SER.G.3.Monitor and reconcile EE Enrollment	"Epic: As the Exchange I need to have a process in place to produce invoices for employer list billing Acceptance Criteria: Comments:"	Reconciliations	Monitor and Reconcile EE Enrollment - 3. First Invoice
7105	Text	SER.G.4.Monitor and reconcile EE Enrollment	"Epic: 4.As the Exchange I need to have a process in place to calculate an employer's tax credits Acceptance Criteria: Comments:"	Reconciliations	Monitor and Reconcile EE Enrollment - 4. ER Tax Credit
7106	Text	SER.G.5.Monitor and reconcile EE Enrollment	"Epic: 5.As the Exchange I need to have a notification process in place to let issuers know when employees and employers have enrolled in their plans Acceptance Criteria: Comments:"	Reconciliations	Monitor and Reconcile EE Enrollment - 5. Plan Notification
7107	Text	SER.G.6.Monitor and reconcile EE Enrollment	"Epic: 6.As the Exchange I need the ability to notify employees that their employers are offering health insurance through the Exchange Acceptance Criteria: Comments:"	Reconciliations	Monitor and Reconcile EE Enrollment - 6. EE Notification
7108	Text	SER.G.7.Monitor and reconcile EE Enrollment	"Epic: 7.As an employer I would like the ability to add/change/delete employees' information on my roster Acceptance Criteria: Comments:"	New Hires / Terminations / Account Management	Monitor and Reconcile EE Enrollment - 7. Add /Change / Delete Census
7109	Text	SER.G.8.Monitor and reconcile EE Enrollment	"Epic: 8.As an employer I would like to be able to update my information as it changes throughout the year Acceptance Criteria: Comments:"	New Hires / Terminations / Account Management	Monitor and Reconcile EE Enrollment - 8. Change / Delete/ Update Demographics
7110	Text	SER.G.9.Monitor	"Epic: 9.As the	New Hires /	Monitor and

Identifier	Artifact format	Short Name	Primary Text	Category	Title
		and reconcile EE Enrollment	Exchange I need to be able to handle changes in employee life events Acceptance Criteria: Comments:"	Terminations / Account Management	Reconcile EE Enrollment - 9. Change in life circumstance
7114	Text	SER.H.2.ER Enrollment	"Epic: As an employer I need the ability to change my benefit offerings to my employees before a subsequent open enrollment period Acceptance Criteria: Comments:"	EmployER Re-enroll	ER Enrollment - 2. Change my plan offerings during open enrollment period
7183	Text	SER.I.1.Process Authorized Broker/Agents	"As a NY-HX Administrator, I need to maintain an up to date listing of certified brokers."	1. Add-Change-Delete (ACD) authorized Broker/Agents	Brokers/Agents SHOP - 1. Add-Change-Delete (ACD) authorized Broker/Agents
7185	Text	SER.I.3.SHOP ER Authorized Broker	"As an employer I need to be able to designate a Broker/Agent of record through the NY-HX."	3. SHOP ER authorizes Broker/Agents.	Brokers/Agents SHOP - 3. SHOP ER authorizes Broker/Agents.
7187	Text	SER.I.5.Identity of Employer	"As a Broker/Agent, I would like to be able to access the NY-HX system using the identity of Employers I represent."	5. Access to take on identity of an Employer in their Portfolio	Brokers/Agents SHOP - 5. Access to take on identity of an Employer in their Portfolio
7188	Text	SER.I.6.Capture Broker/Agent Metrics	"As a DOH Administrator, I need to generate reports showing enrollment and other activities of Brokers/Agents."	6. Capture Broker/Agent activity metrics	Brokers/Agents SHOP - 6. Capture Broker/Agent activity metrics

1.2 Reporting Capabilities

As stated in Section 9.5, stories (epics) are linked to source requirements to establish traceability and assure conformity to The Act and associated regulations.

After populating all requirements with direct linking, traceability reports are defined and executed providing a map to each requirement, detailing the relationship between the specific requirement and the user story (ies) associated with it. As you will note, in the sample Traceability Report below, each HX-Cert* Question is shown with its own unique RRC identifier, the applicable link to an Individual Enrollment and Eligibility (EE) story and the CFR reference where applicable, each having its own unique identifier.

RRC Identifier	Type	Title	Satisfied By Epic
487	HX Cert	3.1 Single Streamlined Apps for Exchange and SHOP	"7118: Employee Register - 4. If Affordability test not met 7112: Monitor and Reconcile EE Enrollment - 11. Reflect Determination in Web Site 7125: EE Quoting/Select QHP - 2. Search /Filter QHPs 7123: Eligibility/Subsidy Determination - 4. Determine how the 270 and 271 Eligibility Requirements be defined for SHOP 7110: Monitor and Reconcile EE Enrollment - 9. Change in life circumstance 7113: ER Enrollment - 1. EmployER entry to pick-up and continue 7121: Eligibility/Subsidy Determination - 2. Verify address 7119: Employee Register - 5. American Indian/Alaskan Native 7117: Employee Register - 3. Determine if Subsidized options should be explored. (Same rules as Indiv) 7111: Monitor and Reconcile EE Enrollment - 10. Directing ER to 800 number or mailing address 7122: Eligibility/Subsidy Determination - 3. American Indian Citizenship"
497	HX Cert	3.10 Individual responsibility requirement and payment exemption determinations	"7122: Eligibility/Subsidy Determination - 3. American Indian Citizenship 7132: Life Events - 1. Add /Change / Dependants 7116: Employee Register - 2. Determine eligible for Exchange Services (See Verify in Individual) 7121: Eligibility/Subsidy Determination - 2. Verify address 7118: Employee Register - 4. If Affordability test not met"
498	HX Cert	3.11 Eligibility Appeals	"7121: Eligibility/Subsidy Determination - 2. Verify address 7132: Life Events - 1. Add /Change / Dependants 7118: Employee Register - 4. If Affordability test not met 7120: Eligibility/Subsidy Determination - 1. If Affordability test not met 7138: Appeals - 1. Ability to appeal a decision through the Exchange. 7122: Eligibility/Subsidy Determination - 3.



RRC Identifier	Type	Title	Satisfied By Epic
			American Indian Citizenship 7113: ER Enrollment - 1. EmployER entry to pick-up and continue"
499	HX Cert	3.12 QHP selections/determinations and APTC/advance CSR processing	"7120: Eligibility/Subsidy Determination - 1. If Affordability test not met 7126: EE Quoting/Select QHP - 3. Choose a Plan 7117: Employee Register - 3. Determine if Subsidized options should be explored. (Same rules as Indiv) 7112: Monitor and Reconcile EE Enrollment - 11. Reflect Determination in Web Site 7125: EE Quoting/Select QHP - 2. Search /Filter QHPs 7127: EE Quoting/Select QHP - 4. Check out 7123: Eligibility/Subsidy Determination - 4. Determine how the 270 and 271 Eligibility Requirements be defined for SHOP 7110: Monitor and Reconcile EE Enrollment - 9. Change in life circumstance"
561	HX Cert	3.12a Capacity to process QHP selections and terminations electronically with CMS/Issuers	"7110: Monitor and Reconcile EE Enrollment - 9. Change in life circumstance 7112: Monitor and Reconcile EE Enrollment - 11. Reflect Determination in Web Site"
560	HX Cert	3.12b Capacity to compute APTC	
559	HX Cert	3.12c Capacity to report and reconcile QHP selection, termination, subsidy coordination with CMS/issuers	"7125: EE Quoting/Select QHP - 2. Search /Filter QHPs 7111: Monitor and Reconcile EE Enrollment - 10. Directing ER to 800 number or mailing address 7132: Life Events - 1. Add /Change / Dependants"
558	HX Cert	3.12d SHOP has capacity to process, report and reconcile QHP selections, terminations	"7113: ER Enrollment - 1. EmployER entry to pick-up and continue 7125: EE Quoting/Select QHP - 2. Search /Filter QHPs 7111: Monitor and Reconcile EE Enrollment - 10. Directing ER to 800 number or mailing address 7109: Monitor and Reconcile EE Enrollment - 8. Change / Delete/ Update Demographics 7137: Re-Enrollment - 2. Connect back to Individual enrollment 7132: Life Events - 1. Add /Change / Dependants
500	HX Cert	3.13 Electronically report results of eligibility determinations and assessments	"7118: Employee Register - 4. If Affordability test not met 7120: Eligibility/Subsidy Determination - 1. If Affordability test not met 7113: ER Enrollment - 1. EmployER entry to pick-up and continue 7122: Eligibility/Subsidy Determination - 3. American Indian Citizenship 7121: Eligibility/Subsidy Determination - 2. Verify address 7128: EE Plan Enrollment - 1. Capture EE (and family) Enrollment Information"
501	HX Cert	3.14 High risk pool transition plan	"7131: EE Plan Enrollment - 4. Issuer validation of EE 7128: EE Plan Enrollment - 1. Capture EE (and family) Enrollment Information 7114:



RRC Identifier	Type	Title	Satisfied By Epic
			ER Enrollment - 2. Change my plan offerings during open enrollment period"
539	HX Cert	3.1a1 Exchange develops and uses an HHS approved single, streamlined application for the individual market	"7110: Monitor and Reconcile EE Enrollment - 9. Change in life circumstance 7125: EE Quoting/Select QHP - 2. Search /Filter QHPs 7111: Monitor and Reconcile EE Enrollment - 10. Directing ER to 800 number or mailing address 7122: Eligibility/Subsidy Determination - 3. American Indian Citizenship 7121: Eligibility/Subsidy Determination - 2. Verify address 7133: Life Events - 2. Change / Delete/ Update Demographics 7118: Employee Register - 4. If Affordability test not met 7120: Eligibility/Subsidy Determination - 1. If Affordability test not met 7112: Monitor and Reconcile EE Enrollment - 11. Reflect Determination in Web Site 7109: Monitor and Reconcile EE Enrollment - 8. Change / Delete/ Update Demographics"
540	HX Cert	3.1a2 Exchange will use the HHS developed single, streamlined application for the individual market	"7110: Monitor and Reconcile EE Enrollment - 9. Change in life circumstance 7111: Monitor and Reconcile EE Enrollment - 10. Directing ER to 800 number or mailing address 7125: EE Quoting/Select QHP - 2. Search /Filter QHPs 7122: Eligibility/Subsidy Determination - 3. American Indian Citizenship 7121: Eligibility/Subsidy Determination - 2. Verify address 7112: Monitor and Reconcile EE Enrollment - 11. Reflect Determination in Web Site 7119: Employee Register - 5. American Indian/Alaskan Native 7109: Monitor and Reconcile EE Enrollment - 8. Change / Delete/ Update Demographics 7117: Employee Register - 3. Determine if Subsidized options should be explored. (Same rules as Indiv) 7118: Employee Register - 4. If Affordability test not met 7120: Eligibility/Subsidy Determination - 1. If Affordability test not met"
541	HX Cert	3.1b1 Exchange develops and uses HHS-approved applications for SHOP employers/employees	"7117: Employee Register - 3. Determine if Subsidized options should be explored. (Same rules as Indiv) 7113: ER Enrollment - 1. EmployER entry to pick-up and continue 7123: Eligibility/Subsidy Determination - 4. Determine how the 270 and 271 Eligibility Requirements be defined for SHOP 7128: EE Plan Enrollment - 1. Capture EE (and family) Enrollment Information 7133: Life Events - 2. Change / Delete/ Update



RRC Identifier	Type	Title	Satisfied By Epic
			Demographics 7124: EE Quoting/Select QHP - 1. Estimate EE cost to provide Health Ins 7129: EE Plan Enrollment - 2. Calculate Premium Payment EE/ER 7119: Employee Register - 5. American Indian/Alaskan Native 7112: Monitor and Reconcile EE Enrollment - 11. Reflect Determination in Web Site"
542	HX Cert	3.1b2 exchange uses the HHS developed applications for SHOP employers/employees	"7080: Employer Register - 3. Create Exchange Acct 7113: ER Enrollment - 1. EmployER entry to pick-up and continue 7113: ER Enrollment - 1. EmployER entry to pick-up and continue 7111: Monitor and Reconcile EE Enrollment - 10. Directing ER to 800 number or mailing address 7123: Eligibility/Subsidy Determination - 4. Determine how the 270 and 271 Eligibility Requirements be defined for SHOP 7124: EE Quoting/Select QHP - 1. Estimate EE cost to provide Health Ins 7119: Employee Register - 5. American Indian/Alaskan Native 7112: Monitor and Reconcile EE Enrollment - 11. Reflect Determination in Web Site 7117: Employee Register - 3. Determine if Subsidized options should be explored. (Same rules as Indiv) 7131: EE Plan Enrollment - 4. Issuer validation of EE 7117: Employee Register - 3. Determine if Subsidized options should be explored. (same rules as Indiv)"
489	HX Cert	3.2 Coordination Strategy- Ins Affordability Programs and SHOP	"7116: Employee Register - 2. Determine eligible for Exchange Services (See Verify in Individual) 7110: Monitor and Reconcile EE Enrollment - 9. Change in life circumstance 7121: Eligibility/Subsidy Determination - 2. Verify address 7110: Monitor and Reconcile EE Enrollment - 9. Change in life circumstance 7118: Employee Register - 4. If Affordability test not met 7117: Employee Register - 3. Determine if Subsidized options should be explored. (Same rules as Indiv) 7136: Re-Enrollment - 1. Employee Open Enrollment 7112: Monitor and Reconcile EE Enrollment - 11. Reflect Determination in Web Site 7123: Eligibility/Subsidy Determination - 4. Determine how the 270 and 271 Eligibility Requirements be defined for SHOP 7122: Eligibility/Subsidy Determination - 3. American Indian Citizenship"
490	HX Cert	3.3 Application, updates, acceptance and processing, and	"7134: Life Events - 3. Change in life circumstance 7128: EE Plan

RRC Identifier	Type	Title	Satisfied By Epic
		responses to redeterminations	Enrollment - 1. Capture EE (and family) Enrollment Information 7133: Life Events - 2. Change / Delete/ Update Demographics"
543	HX Cert	3.3a In-person acceptance and processing of applications, updates, redetermination responses	"7117: Employee Register - 3. Determine if Subsidized options should be explored. (same rules as Indiv) 7128: EE Plan Enrollment - 1. Capture EE (and family) Enrollment Information 7133: Life Events - 2. Change / Delete/ Update Demographics 7132: Life Events - 1. Add /Change / Dependants 7137: Re-Enrollment - 2. Connect back to Individual enrollment 7129: EE Plan Enrollment - 2. Calculate Premium Payment EE/ER 7131: EE Plan Enrollment - 4. Issuer validation of EE 7114: ER Enrollment - 2. Change my plan offerings during open enrollment period"
544	HX Cert	3.3b On-line acceptance and processing of applications, updates, redetermination responses	"7131: EE Plan Enrollment - 4. Issuer validation of EE 7114: ER Enrollment - 2. Change my plan offerings during open enrollment period 7117: Employee Register - 3. Determine if Subsidized options should be explored. (same rules as Indiv) 7128: EE Plan Enrollment - 1. Capture EE (and family) Enrollment Information 7133: Life Events - 2. Change / Delete/ Update Demographics 7137: Re-Enrollment - 2. Connect back to Individual enrollment 7132: Life Events - 1. Add /Change / Dependants 7129: EE Plan Enrollment - 2. Calculate Premium Payment EE/ER"
545	HX Cert	3.3c Mail acceptance and processing of applications, updates, redetermination responses	"7128: EE Plan Enrollment - 1. Capture EE (and family) Enrollment Information 7133: Life Events - 2. Change / Delete/ Update Demographics 7137: Re-Enrollment - 2. Connect back to Individual enrollment 7132: Life Events - 1. Add /Change / Dependants 7117: Employee Register - 3. Determine if Subsidized options should be explored. (Same rules as Indiv) 7131: EE Plan Enrollment - 4. Issuer validation of EE 7114: ER Enrollment - 2. Change my plan offerings during open enrollment period"
546	HX Cert	3.3d Phone acceptance and processing of applications, updates, redetermination responses	"7111: Monitor and Reconcile EE Enrollment - 10. Directing ER to 800 number or mailing address 7133: Life Events - 2. Change / Delete/ Update Demographics 7128: EE Plan Enrollment - 1. Capture EE (and family) Enrollment Information 7117: Employee Register - 3. Determine if Subsidized options should be



RRC Identifier	Type	Title	Satisfied By Epic
			explored. (same rules as Indiv) 7132: Life Events - 1. Add /Change / Dependants 7137: Re-Enrollment - 2. Connect back to Individual enrollment 7131: EE Plan Enrollment - 4. Issuer validation of EE 7114: ER Enrollment - 2. Change my plan offerings during open enrollment period
547	HX Cert	3.3e Applicants with disabilities, limited English proficiency - Acceptance/ Processing of applications, updates, redeterminations responses for applicants- all means	7117: Employee Register - 3. Determine if Subsidized options should be explored. (same rules as Indiv)
491	HX Cert	3.4 Notices, data matching, annual redeterminations and response processing	"7131: EE Plan Enrollment - 4. Issuer validation of EE 7122: Eligibility/Subsidy Determination - 3. American Indian Citizenship 7133: Life Events - 2. Change / Delete/ Update Demographics 7132: Life Events - 1. Add /Change / Dependants 7119: Employee Register - 5. American Indian/Alaskan Native 7120: Eligibility/Subsidy Determination - 1. If Affordability test not met 7116: Employee Register - 2. Determine eligible for Exchange Services (See Verify in Individual)"
548	HX Cert	3.4a Capacity to send notice in alternate formats and multiple languages	
549	HX Cert	3.4b Capacity to conduct periodic data matching and act on results	7133: Life Events - 2. Change / Delete/ Update Demographics
550	HX Cert	3.4c Capacity to conduct annual redeterminations and process responses	
492	HX Cert	3.5 Verifications	"7122: Eligibility/Subsidy Determination - 3. American Indian Citizenship 7133: Life Events - 2. Change / Delete/ Update Demographics 7134: Life Events - 3. Change in life circumstance 7121: Eligibility/Subsidy Determination - 2. Verify address 7119: Employee Register - 5. American Indian/Alaskan Native 7132: Life Events - 1. Add /Change / Dependants 7120: Eligibility/Subsidy Determination - 1. If Affordability test not met 7130: EE Plan Enrollment - 3. Convey Enrollment to EmployER 7116: Employee Register - 2. Determine eligible for Exchange Services (See Verify in Individual)"
493	HX Cert	3.6 Document acceptance and processing	"7134: Life Events - 3. Change in life circumstance 7119: Employee Register - 5. American Indian/Alaskan Native 7132: Life Events - 1. Add /Change / Dependants 7120: Eligibility/Subsidy Determination - 1. If



RRC Identifier	Type	Title	Satisfied By Epic
			Affordability test not met 7128: EE Plan Enrollment - 1. Capture EE (and family) Enrollment Information 7123: Eligibility/Subsidy Determination - 4. Determine how the 270 and 271 Eligibility Requirements be defined for SHOP 7111: Monitor and Reconcile EE Enrollment - 10. Directing ER to 800 number or mailing address"
551	HX Cert	3.6a Exchange has the appropriate privacy protections and capacity to process documents received electronically	
552	HX Cert	3.6b Exchange has the appropriate privacy protections and capacity to process documents received via mail/fax	7131: EE Plan Enrollment - 4. Issuer validation of EE
494	HX Cert	3.7 Eligibility determination	"7126: EE Quoting/Select QHP - 3. Choose a Plan 7122: Eligibility/Subsidy Determination - 3. American Indian Citizenship 7132: Life Events - 1. Add /Change / Dependents 7080: Employer Register - 3. Create Exchange Acct 7118: Employee Register - 4. If Affordability test not met 7120: Eligibility/Subsidy Determination - 1. If Affordability test not met 7116: Employee Register - 2. Determine eligible for Exchange Services (See Verify in Individual) 7112: Monitor and Reconcile EE Enrollment - 11. Reflect Determination in Web Site 7127: EE Quoting/Select QHP - 4. Check out 7123: Eligibility/Subsidy Determination - 4. Determine how the 270 and 271 Eligibility Requirements be defined for SHOP 7121: Eligibility/Subsidy Determination - 2. Verify address 7110: Monitor and Reconcile EE Enrollment - 9. Change in life circumstance 7119: Employee Register - 5. American Indian/Alaskan Native 7117: Employee Register - 3. Determine if Subsidized options should be explored. (same rules as Indiv) 7113: ER Enrollment - 1. EmployER entry to pick-up and continue 7128: EE Plan Enrollment - 1. Capture EE (and family) Enrollment Information 7111: Monitor and Reconcile EE Enrollment - 10. Directing ER to 800 number or mailing address 7135: Life Events - 4. COBRA"
553	HX Cert	3.7a Capacity to determine eligibility for enrollment in QHP	"7110: Monitor and Reconcile EE Enrollment - 9. Change in life circumstance 7125: EE Quoting/Select QHP - 2. Search /Filter QHPs 7136: Re-Enrollment - 1. Employee Open Enrollment 7122: Eligibility/Subsidy Determination - 3. American Indian

RRC Identifier	Type	Title	Satisfied By Epic
			Citizenship 7128: EE Plan Enrollment - 1. Capture EE (and family) Enrollment Information 7132: Life Events - 1. Add /Change / Dependants 7112: Monitor and Reconcile EE Enrollment - 11. Reflect Determination in Web Site 7121: Eligibility/Subsidy Determination - 2. Verify address 7120: Eligibility/Subsidy Determination - 1. If Affordability test not met 7109: Monitor and Reconcile EE Enrollment - 8. Change / Delete/ Update Demographics 7135: Life Events - 4. COBRA 7118: Employee Register - 4. If Affordability test not met"
554	HX Cert	3.7b1 Capacity to determine eligibility for Medicaid or CHIP-MAGI	"7136: Re-Enrollment - 1. Employee Open Enrollment 7128: EE Plan Enrollment - 1. Capture EE (and family) Enrollment Information 7122: Eligibility/Subsidy Determination - 3. American Indian Citizenship 7132: Life Events - 1. Add /Change / Dependants 7121: Eligibility/Subsidy Determination - 2. Verify address 7118: Employee Register - 4. If Affordability test not met 7120: Eligibility/Subsidy Determination - 1. If Affordability test not met 7109: Monitor and Reconcile EE Enrollment - 8. Change / Delete/ Update Demographics"
555	HX Cert	3.7b2 Capacity to assess eligibility for Medicaid or CHIP-MAGI	"7136: Re-Enrollment - 1. Employee Open Enrollment 7122: Eligibility/Subsidy Determination - 3. American Indian Citizenship 7128: EE Plan Enrollment - 1. Capture EE (and family) Enrollment Information 7132: Life Events - 1. Add /Change / Dependants 7121: Eligibility/Subsidy Determination - 2. Verify address 7118: Employee Register - 4. If Affordability test not met 7120: Eligibility/Subsidy Determination - 1. If Affordability test not met 7109: Monitor and Reconcile EE Enrollment - 8. Change / Delete/ Update Demographics 7135: Life Events - 4. COBRA"
556	HX Cert	3.7c Capacity to determine eligibility for employee/employer participation in SHOP	"7113: ER Enrollment - 1. EmployER entry to pick-up and continue 7123: Eligibility/Subsidy Determination - 4. Determine how the 270 and 271 Eligibility Requirements be defined for SHOP 7128: EE Plan Enrollment - 1. Capture EE (and family) Enrollment Information 7121: Eligibility/Subsidy Determination - 2. Verify address 7117: Employee Register - 3. Determine if Subsidized options should be explored. (same rules as Indiv) 7131: EE Plan Enrollment - 4. Issuer



RRC Identifier	Type	Title	Satisfied By Epic
			validation of EE7136: Re-Enrollment - 1. Employee Open Enrollment 7122: Eligibility/Subsidy Determination - 3. American Indian Citizenship 7132: Life Events - 1. Add /Change / Dependants 7109: Monitor and Reconcile EE Enrollment - 8. Change / Delete/ Update Demographics 7118: Employee Register - 4. If Affordability test not met 7109: Monitor and Reconcile EE Enrollment - 8. Change / Delete/ Update Demographics 7112: Monitor and Reconcile EE Enrollment - 11. Reflect Determination in Web Site 7120: Eligibility/Subsidy Determination - 1. If Affordability test not met 7135: Life Events - 4. COBRA 7110: Monitor and Reconcile EE Enrollment - 9. Change in life circumstance 7113: ER Enrollment - 1. EmployER entry to pick-up and continue"
557	HX Cert	3.7d Capacity to process applications received from affordability programs	7128: EE Plan Enrollment - 1. Capture EE (and family) Enrollment Information
495	HX Cert	3.8 Eligibility determinations for APTC and CSR	"7124: EE Quoting/Select QHP - 1. Estimate EE cost to provide Health Ins 7122: Eligibility/Subsidy Determination - 3. American Indian Citizenship 7118: Employee Register - 4. If Affordability test not met 7121: Eligibility/Subsidy Determination - 2. Verify address 7132: Life Events - 1. Add /Change / Dependants 7116: Employee Register - 2. Determine eligible for Exchange Services (See Verify in Individual)"
496	HX Cert	3.9 Applicant and employer notification	"7137: Re-Enrollment - 2. Connect back to Individual enrollment 7133: Life Events - 2. Change / Delete/ Update Demographics 7132: Life Events - 1. Add /Change / Dependants 7134: Life Events - 3. Change in life circumstance 7113: ER Enrollment - 1. EmployER entry to pick-up and continue 7120: Eligibility/Subsidy Determination - 1. If Affordability test not met 7116: Employee Register - 2. Determine eligible for Exchange Services (See Verify in Individual) 7136: Re-Enrollment - 1. Employee Open Enrollment 7130: EE Plan Enrollment - 3. Convey Enrollment to EmployER"
1986	Law/Regulation	155.710 b) Exchange Functions - SHOP. Employer eligibility requirements.	7079: Employer Register - 2. Determine eligible for Exchange Services
1985	Law/Regulation	155.710 a) Exchange Functions - SHOP. General requirements.	7081: Employer Register - 4. Point to Section 125 requirements
2075	Law/Regulation	155.730 d) Exchange Function - SHOP. Model application.	7092: Employee Roster - 1. Enter minimal EE data
2063	Law/Regulation	155.725 b) Enrollment periods under SHOP. Rolling enrollment	7093: Employee Roster - 2. Enter COBRA Eligible minimal data



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		in the SHOP.	
1988	Law/Regulation	155.710 d) Exchange Functions-SHOP. Continuing eligibility.	7094: Employee Roster - 3. Batch Roster up-load
2057	Law/Regulation	155.720 c) Transfer of enrollment information.	"7095: Employee Roster - 4. Capture ER Enrollment Information 7128: EE Plan Enrollment - 1. Capture EE (and family) Enrollment Information"
2049	Law/Regulation	155.715 c) Exchange Functions - SHOP. Verification of eligibility.	7096: Employee Roster - 5. Validate Corp
2053	Law/Regulation	155.715 f) Exchange Functions - SHOP. Notification to employee eligibility.	"7097: Employee Roster - 6. Convey Enrollment to EmployEEs 7101: ER Appeals - 1. Ability to appeal a decision through the Exchange. 7138: Appeals - 1. Ability to appeal a decision through the Exchange."
6777	Law/Regulation	155.400 d) Enrollment of qualified individuals into QHPs. Reconcile files.	7102: Monitor and Reconcile EE Enrollment - 1. Re-Estimate ER costs
2060	Law/Regulation	155.720 g) Reconcile files.	"7103: Monitor and Reconcile EE Enrollment - 2. Present P/R deduction schedule 7108: Monitor and Reconcile EE Enrollment - 7. Add /Change / Delete Census"
1597	Law/Regulation	155.315 f) Verification processes related to eligibility for enrollment in a QHP through the Exchange. Inconsistencies.	7105: Monitor and Reconcile EE Enrollment - 4. ER Tax Credit
6829	Law/Regulation	156.265 f) Enrollment process for qualified individuals. Enrollment reconciliation.	7106: Monitor and Reconcile EE Enrollment - 5. Plan Notification
2680	Law/Regulation	157.205 f) Eligibility of qualified employers to participate in a SHOP. New employees and changes in employee eligibility.	7109: Monitor and Reconcile EE Enrollment - 8. Change / Delete/ Update Demographics
642	Law/Regulation	155.205 (a) Consumer assistance tools and programs of an Exchange. Call Center.	7111: Monitor and Reconcile EE Enrollment - 10. Directing ER to 800 number or mailing address
731	Law/Regulation	155.310 h) Eligibility standards. Notice of an employee's eligibility for advance payments of the premium tax credit and cost-sharing reductions to an employer.	7112: Monitor and Reconcile EE Enrollment - 11. Reflect Determination in Web Site
2065	Law/Regulation	155.725 d) Enrollment periods under SHOP. Annual employer election period notice.	7113: ER Enrollment - 1. EmployER entry to pick-up and continue
2064	Law/Regulation	155.725 c) Enrollment periods under SHOP. Annual employer election period.	7114: ER Enrollment - 2. Change my plan offerings during open enrollment period
1595	Law/Regulation	155.315 h) Verification process related to eligibility for enrollment in a QHP through the Exchange. Flexibility in information collection and verification.	7116: Employee Register - 2. Determine eligible for Exchange Services (See Verify in Individual)
2247	Law/Regulation	156.10 a) Basis and scope. Basis.	7117: Employee Register - 3. Determine if Subsidized options should be explored. (same rules as Indiv)
718	Law/Regulation	155.315 c) Verification processes related to eligibility for enrollment	7119: Employee Register - 5. American Indian/Alaskan Native



RRC Identifier	Type	Title	Satisfied By Epic
		in a QHP through the Exchange. Verification of citizenship, status as a national, or lawful presence.	
1613	Law/Regulation	155.320 a) Verification process related to eligibility for insurance affordability programs. General requirements.	"7120: Eligibility/Subsidy Determination - 1. If Affordability test not met 7121: Eligibility/Subsidy Determination - 2. Verify address 7118: Employee Register - 4. If Affordability test not met"
735	Law/Regulation	155.310 a) Eligibility process. Application.	7122: Eligibility/Subsidy Determination - 3. American Indian Citizenship
3104	Law/Regulation	155.10 General Provisions a) Basis and Scope.	7123: Eligibility/Subsidy Determination - 4. Determine how the 270 and 271 Eligibility Requirements be defined for SHOP
2076	Law/Regulation	155.730 e) Application standards for SHOP. Alternative employer and employee application.	7124: EE Quoting/Select QHP - 1. Estimate EE cost to provide Health Ins
2056	Law/Regulation	155.720 b) Enrollment timeline and process.	7130: EE Plan Enrollment - 3. Convey Enrollment to EmployER
2052	Law/Regulation	155.715 e) Exchange Functions - SHOP. Notification of employer eligibility.	"7131: EE Plan Enrollment - 4. Issuer validation of EE 7107: Monitor and Reconcile EE Enrollment - 6. EE Notification"
1642	Law/Regulation	155.335 e) Annual eligibility redetermination. Changes reported by enrollees.	"7132: Life Events - 1. Add /Change / Dependents 7110: Monitor and Reconcile EE Enrollment - 9. Change in life circumstance"
1638	Law/Regulation	155.335 a) Annual eligibility redetermination. General requirement.	7134: Life Events - 3. Change in life circumstance
1807	Law/Regulation	155.420 e) Loss of minimum essential coverage.	7135: Life Events - 4. COBRA
2066	Law/Regulation	155.725 e) Enrollment periods under SHOP. Annual employee open enrollment period.	7136: Re-Enrollment - 1. Employee Open Enrollment
2070	Law/Regulation	155.725 i) Enrollment periods under SHOP. Renewal of Coverage.	7137: Re-Enrollment - 2. Connect back to Individual enrollment
1653	Law/Regulation	155.340 d) Administration of advance payments of the premium tax credit and cost-sharing reductions. Timeliness standard.	7379: APTC/CSR 3b. EE SLCSP data to CMS
1650	Law/Regulation	155.340 a) Administration of advance payments of the premium tax credit and cost-sharing reductions. Requirement to provide information to enable advance payments of the premium tax credit and cost-sharing reductions.	"7383: APTC/CSR 4b. APTC from Issuer data cleanse 7378: APTC/CSR 3a. EE APTC data to CMS"
2058	Law/Regulation	155.720 d) Payment.	"7392: SHOP Premium Processing- 3bi. A/R -Collections 7394: SHOP Premium Processing- 3c A/R - Late Pmts 7129: EE Plan Enrollment - 2. Calculate Premium Payment EE/ER 7099: Aggregate Premium - 2. 820 Payment Requirements 7098:



RRC Identifier	Type	Title	Satisfied By Epic
			Aggregate Premium - 1. Aggregate Premium Payment"
6792	Law/Regulation	155.720 f) Enrollment of employees in QHPs under SHOP. Records.	"7393: SHOP Premium Processing- 3bii A/R - Cvg Cancel 7390: SHOP Premium Processing- 3a. Reconcile, Update 7094: Employee Roster - 3. Batch Roster up-load"
700	Law/Regulation	155.240 c) Payment of Premiums. Payment facilitation.	7396: Core Bus Svces/Issuer Pmt Mgmt - 2. A/P -Distribute Monies to Issuers
3439	Law/Regulation	155.160 b.) Financial support for continued operations. Funding for Ongoing Operations.	7405: Core Bus Svces/Issuer Pmt Mgmt-User Fee Policy
6790	Law/Regulation	155.705 b) Functions of a SHOP Unique functions of a SHOP.	"7411: Oversight - 2b. Roster to Employer 7421: Oversight - 4. Program Integrity, Compliance 7396: Core Bus Svces/Issuer Pmt Mgmt - 2. A/P -Distribute Monies to Issuers 7104: Monitor and Reconcile EE Enrollment - 3. First Invoice 7406: Oversight- 1. Annual reporting to OSC"
7794	Law/Regulation	435.907 - Written Application Authorized Representative	7444: Right/ Declarations/ Third Parties
7796	Law/Regulation	42 CFR 435.911(a)(2) - Timely determination of eligibility	7445: Apply- Determine Eligibility all insurance affordability programs (e.g., Medicaid, CHIP, APTC, BHP, CSR). availability of ESI and current employment
708	Law/Regulation	155.230 a) Functions of an Exchange. General requirement.	7454: Create account new / existing
709	Law/Regulation	155.230 b) General standards for Exchange notices. Accessibility and readability requirements.	7454: Create account new / existing
2402	Law/Regulation	156.230 b.) QHP Minimum Certification Standards. Access to provider directory.	7454: Create account new / existing

**HX-Cert – Final Blueprint for Approval of Affordable State-based and State Partnership Insurance Exchanges, Section II: Application for Approval of Affordable State-based and State Partnership Insurance Exchanges; State Background Information for Application Submission; Exchange Activities.*